

MINERALS ACT

CHAPTER 61:03

Act

61 of 2000

Amended by
4 of 2014

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CHAPTER 61:03

MINERALS ACT

61 of 2000. **An Act to regulate mining and to provide for matters connected therewith or incidental thereto.**

*[ASSENTED TO 2ND NOVEMBER 2000]

Short title. **1.** This Act may be cited as the Minerals Act.

Commencement.
262/2000. **2.** This Act came into operation on 6th November 2000.

PART I

PRELIMINARY

Interpretation. **3.** In this Act, unless the context otherwise requires—
“bond” means a Rehabilitation or Performance Bond;
“deposit” means a natural concentration of minerals;
“Director” means the Director of Minerals appointed under section 9(1);
“environment” means all land, areas beneath the land surface, atmosphere, climate, surface water, ground water, sea, marine and coastal areas, seabed, wetlands and natural resources within the jurisdiction of Trinidad and Tobago;
“environmentally sensitive area” means an area designated as an environmentally sensitive area under section 41 of the
Ch. 35:05. Environmental Management Act;
“hydrocarbon” means a naturally occurring organic chemical compound of hydrogen and carbon called petroleum;
“licence” means a licence issued under this Act;
“marine area” has the same meaning as defined in the Marine
Ch. 37:02. Areas (Preservation and Enhancement) Act;
“mine” includes any place, excavation or working in, on which operations connected with mining is carried on, together with all buildings, premises, erections and appliances belonging

*See section 2 for date of commencement of this Act.

or appertaining thereto, above or below the ground, for the purpose of winning, treating or preparing minerals, obtaining or extracting any mineral or metal by any mode or method;

“mineral” means a naturally occurring substance that can be mined, whether in solid, liquid or gaseous form, in or below the surface of the soil; any ores containing such minerals and any product of such minerals derived by processing and includes peat and salt, but does not include hydrocarbons and water;

“mining” means excavating or quarrying in, on or, below the surface for the purpose of winning or obtaining minerals and includes any operations directly or indirectly necessary for or incidental to mining operations;

“mining zone” means any area designated as such by the Minister under section 8(1)(f);

“Minister” means the Minister to whom the responsibility for mines is assigned;

“occupant” means a legitimate occupant;

“pit-run” means all unprocessed mineral;

“pollution” has the same meaning as defined in the Environmental Management Act;

“private mining rights” means rights to mine that are not public mining rights;

“process” means crush, screen, wash, beneficiate, concentrate or otherwise treat minerals in a preliminary manner by a physical, chemical or other process, but does not include smelting and refining;

“public mining rights” means rights to mine in State Lands;

“regulations” means regulations made under this Act;

“rehabilitation” means to restore to previous condition or to improve on previous condition;

“seabed” means the ocean floor;

“sea sand” means sand on the seabed, shoreline or foreshore;

“stone” means limestone, igneous rock, procellanite, argillite, sand, quartzite, shale and sandstone.

Act not to affect hydrocarbon.
Ch. 62:01.

4. This Act shall not apply to any hydrocarbons or petroleum to which the Petroleum Act applies.

Public and private mining rights.

5. (1) Public mining rights are hereby vested in the State and are exercisable by the President.

(2) Private mining rights are exercisable by the owner thereof, subject to this Act or any Regulations or Rules and Orders made under this Act or the Regulations as relate thereto.

Categories of minerals.

6. (1) For the purpose of proper implementation, minerals that come under the purview of this Act shall be broadly categorised as Common Minerals and Special Minerals.

(2) Stone, clay, sea sand, gravel, tar sands, asphalt, and raw materials generally used for construction, basic manufacturing and industrial purposes and such other minerals that may be specified by Regulations shall form Common Minerals; and metallic ores, gemstones and other precious and semi-precious stones and such other minerals that may be specified by Regulations shall form Special Minerals.

PART II

ADMINISTRATION

Minister to be responsible for administration of the Act.

7. (1) Subject to this Act, the Minister shall be responsible for the general administration of this Act.

(2) The Minister may, in relation to any particular matter or class of matters, by writing, delegate to the Director, any other public officer, agency of the Government or the Tobago House of Assembly, any of his powers and functions under this Act.

General responsibilities of the Minister.

8. (1) The Minister shall be responsible for—

- (a) the formulation of policy with respect to the exploration for, mining, processing and import and export of minerals, and matters incidental thereto;
- (b) granting, renewing, revoking or suspending licences for mining, processing, import and export of minerals and other mining related activities;

- (c) approving mineral reserve blocks or mining zones for the conduct of processing operations thereon and for any mining related activities;
- (d) determining exploration, mining and processing procedures and operational guidelines in relation to minerals;
- (e) determining and collecting fees, rents, royalties, and other payments to be charged under the Act and Regulations;
- (f) declaring as a specified area, any area in which mining zones are to be established;
- (g) establishing mining blocks within any specified area; and
- (h) such other things as may be required under the Act.

(2) No licence shall be granted for mining of State Lands without the written consent of the Commissioner of State Lands.

9. (1) Subject to section 7, the Director of Minerals, appointed by the relevant Service Commission, shall be responsible for the implementation of the Act.

Director of
Minerals.

(2) The Director may, with the approval of the Minister, delegate his functions and duties to such other officers of the Division as he may deem fit.

10. It shall be the duty of the Director to—

Duties of the
Director.

- (a) promote and facilitate the effective and efficient management and the development of mineral resources in general and the State mineral resources in particular;
- (b) conduct geological and geophysical surveys with a view to locating deposits of mineral resources;
- (c) evaluate, collect, compile, analyse and publish data pertaining to the quality and quantity of mineral resources of Trinidad and Tobago with a view to determining their economic potential and use;

- (d) prepare reports, maps and other records, provide data and maintain registers in respect of licences and provide data to the public, subject to any confidentiality;
- (e) advise licensees on proper and safe mining methods;
- (f) advise the operators of mines, in consultation with relevant Ministries, on methods of rehabilitation of lands;
- (g) enforce rehabilitation of State Lands affected by mining in consultation with relevant Ministries;
- (h) submit proposals to the Committee for the establishment of mineral reserve blocks or mining zones for the proper regulation of mining activities on State and private lands in consultation with Town and Country Planning Department; and
- (i) inform the Commissioner of State Lands, every six months during the duration of a licence, of the amount of royalties due on any particular licence for mining on State Lands.

Powers of the
Director.

11. Subject to sections 7 and 8, the Director shall have the power to—

- (a) oversee exploration, mining, processing and other operations authorised by a licence issued under the Act;
- (b) enforce regulations and monitor operations of mining, processing and other mining related activities under the Act, to ensure compliance with the licence and the provision of the Act and Regulations;
- (c) regulate the importation, storage, use and disposal of explosives for use in geological and geophysical surveys in consultation with the Ministry of National Security;

- (d) conduct annual audits on licensee firms and individuals for the purpose of verifying royalties and other payments and collecting production data;
- (e) regulate the winning, transportation, processing, storage and disposal of minerals;
- (f) enter into and inspect with the consent of the owner any land, mine or other premises in respect of which a licence has been issued under this Act and carry out such investigations and surveys thereon as may be necessary to ascertain whether the terms and conditions of such licence or any provision of the Act or any Regulation are being complied with;
- (g) abate or remove, as the Director may deem appropriate, at the expense of the licensee where applicable as the Director may deem necessary, any condition resulting from the non-compliance by a licensee of any term or condition of his licence or the provisions of the Act or Regulations;
- (h) examine and take copies of any relevant books, records and other documents which are required to be maintained by a licensee under any Regulations made under the Act;
- (i) to terminate illegal mining and illegal mining related activities on both State and private lands; and
- (j) do such other things that may be required or necessary to give effect to the Act and the Regulations.

PART III

LICENCES

12. (1) Subject to this Act, no person shall explore for, mine, process or import or export minerals except under the authority of a licence issued under this Act.

Prohibition of
mining without
licence.

(2) Except where the Minister may in special circumstances permit, no licence shall be granted to any person to operate a mine or to mine within a specified area unless such mine or mining is located within a mining zone.

Restrictions on
issue of licence.

13. No licence to explore for, mine, process, import or export any mineral shall be issued to—

- (a) a person under the age of eighteen years;
- (b) a person who is declared a bankrupt;
- (c) any person who does not possess the financial capacity and technical qualifications necessary, in the opinion of the Director, to conduct the activities in respect of which the licence has been applied for;
- (d) any person who has failed to pay the prescribed fee for the issue of the licence;
- (e) any person who has failed to pay royalties due to the State; or
- (f) a non-national of Trinidad and Tobago or a company, firm or partnership where the shareholding by a national is less than fifty per cent.

Restriction on
powers to issue
licence.

14. (1) No licence shall be issued to any person to explore for, mine or process any minerals upon—

- (a) any burial ground or cemetery without the approval of the Minister to whom the responsibility for Local Government is assigned;
- (b) any land within such distance of a highway, road, rail track, aerodrome, power line or other public work, building or utility as may be prescribed, without the approval of the relevant Minister assigned for the relevant subject;
- (c) any land situated within such distance of a river, lake, stream, reservoir or bund, as may be prescribed, without the approval of the Minister to whom responsibility for land is assigned;

- (d) any national park, protected area or environmentally sensitive area, wildlife sanctuary or forest reserve, without the approval of the relevant Minister assigned for the relevant subject;
- (e) the foreshore, seabed or marine area without the approval of the Minister to whom the responsibility for land is assigned;
- (f) any land vested in the Defence Forces, without the approval of the Minister to whom the responsibility for National Security is assigned;
- (g) land vested in any Municipal Corporation, without the approval of the Minister to whom the responsibility for Local Government is assigned; and
- (h) any archeological sites, geological type sections and survey monuments or any area close to them which would cause destruction by any activity.

(2) In addition to the conditions that may be prescribed under the Act, the Minister referred to in subsection (1), may, in granting a licence, lay down such further conditions as may be determined by such Minister and such conditions shall be specified in the licence.

15. (1) There is hereby established a committee called the Minerals Advisory Committee, hereinafter called the “Committee”, for the purpose of advising the Minister on all matters relating to the exploration of, mining, processing, import or export of minerals and matters related thereto.

Minerals
Advisory
Committee.

- (2) The Committee shall consist of—
- (a) the Permanent Secretary of the Ministry responsible for mines;
 - (b) the Director of Minerals;
 - (c) the Commissioner of State Lands;
 - (d) the Chief Technical Officer of the Ministry of Works and Transport;
 - (e) a representative of the Town and Country Planning Department;

- (f) the Director of Forestry;
- (g) a representative of the Water and Sewerage Authority;
- (h) a representative of the Environmental Management Authority;
- (i) a legal officer of the Ministry responsible for mines;
- (j) a representative of the Factories Inspectorate;
- (k) a technical officer representing the Tobago House of Assembly;
- (l) a representative of the Ministry of Finance; and
- (m) a representative of the Ministry of Health.

(3) The Permanent Secretary of the Ministry responsible for mines shall be the Chairman and the Director of Minerals shall be the Deputy Chairman of the Committee.

Duties of the
Committee.

16. The duties of the Committee shall be to—

- (a) advise the Minister on matters of general policy with respect to exploration of, mining, processing, import and export of minerals and other mineral related matters;
- (b) receive and consider applications for licences and to make recommendations thereof to the Minister;
- (c) advise the Minister on the establishment of mining zones and the establishment of mining blocks therein;
- (d) advise the Minister on the quantum of bonds;
- (e) advise the Minister on matters of the environment as they relate to mines;
- (f) review the provisions of the Act and the Regulations from time to time and make recommendations to the Minister as may be considered necessary; and
- (g) advise the Minister on all such matters that may be referred to it.

17. (1) No licence shall be granted under this Act in respect of State Land, except upon a competitive bidding process open to the public prescribed by Regulations and in consultation with the Minister to whom responsibility for land is assigned.

Mining on
State Land.

(2) Notwithstanding subsection (1), the Minister may, acting on the advice of the Committee, in the national interest and with the approval of the Cabinet, grant licences for mining and processing outside of the public competitive bidding process.

(3) The advice of the Committee given under subsection (2) shall be in writing and a report of the licences granted in the national interest shall be laid in Parliament on a quarterly basis.

18. (1) Subject to section 17, every application for a licence under this Act for the exploration of, mining, processing, importing or exporting of minerals shall be made to the Committee in such form and shall contain such particulars as may be prescribed, and shall be accompanied by such prescribed fee.

Application for
licence.

(2) In the case of an application of the mining of private lands where the applicant is not the owner of the land to which the application relates, the applicant shall, along with the application, submit sufficient proof to the satisfaction of the Committee that the owner has consented to the use of the land for the purpose to which the application relates.

19. (1) On receipt of an application for the exploration, mining, processing, import or export of minerals, the Committee shall make a recommendation to the Minister on the issue of licence, and the Minister may either grant or refuse the licence.

Issue of licence.

(2) Where the application for a licence is for the exploration or mining of minerals the Minister may subject to subsection (2) grant or refuse the licence only with the approval of the Cabinet.

20. (1) The Minister shall upon the advice of the Committee refuse to issue a licence to explore for, mine or process, import or

Refusal to issue
a licence.

export minerals where, such operations would be against the interest of the public, taking into account but not limited to the following:

- (a) the preservation of the character of the environment including the flora and fauna;
- (b) the availability of natural environment for the enjoyment of the public;
- (c) the need, if any, for restricting excessively large total mineral output in the locality or in the country;
- (d) the traffic density on roads in the area where the mining or processing takes place;
- (e) any possible effect on the water table or surface drainage pattern;
- (f) the nature and location of other land uses that could be affected by the operations being applied for;
- (g) in the case of exploration, mining and processing applications, the character, location and size of nearby communities;
- (h) danger of pollution to the neighbourhood;
- (i) the availability of minerals locally; and
- (j) any other factors which in the opinion of the Minister would be against the public interest and contrary to the National Environmental Policy.

(2) Where the Minister refuses to issue a licence he shall give the applicant the reasons for the refusal in writing.

Form of
Licence.

21. (1) Subject to this Act, every licence issued under this Act shall—

- (a) be in such form and be subject to such terms and conditions as may be prescribed;
- (b) specify the limits of the area in respect of which the licensee is authorised to explore for or mine, process, import or export minerals; and
- (c) not be transferred or assigned without the written approval of the Minister.

(2) A licence issued under this section shall, unless it is revoked earlier, be valid for such period as shall be specified in the Regulations.

(3) Every licence issued under this section shall be published in the *Gazette* and in a daily newspaper.

22. Every licence issued under this Act shall, include the following conditions: Conditions of licence.

- (a) the exploration, mining, processing, and import or export of minerals authorised by the licence shall not be conducted in a fraudulent, reckless, grossly negligent or wilfully improper manner;
- (b) the licensee shall notify the Director, of the discovery of the minerals in the exercise of his rights under the licence;
- (c) the licensee shall comply with all written laws relating to the protection of the environment, health, safety standards and the protection of natural resources;
- (d) the licensee on completion of the exploration or mining shall rehabilitate the land to which such licence relate and to every adjacent land that may be affected by the mining to such condition as may be specified;
- (e) the licensee shall not suspend, curtail or cease the activities authorised by the licence for a period exceeding three months per calendar year except with the prior permission of the Director obtained not less than one month prior to such suspension, curtailment or cessation;
- (f) the licensee shall comply with this Act and the Regulations and all other written laws of Trinidad and Tobago;
- (g) the licensee shall pay to the Permanent Secretary of the Ministry of Energy and Energy Industries the fees, royalties, rents, and any other payments he is required to pay in respect of such licence;

- (h) the licensee shall maintain such books, records and other documents and materials as are required by the Director, to be maintained by him and shall enter the required particulars therein;
- (i) the licensee shall afford the Director or his authorised representative access to any premises in which any activity authorised by the licence is carried on and to make available to such officer, all books, records and other documents and materials maintained as required by section 11(h) by the licensee;
- (j) the licensee shall submit to the Director such reports and give such notices as he is required to give or submit under the Act or the Regulations; and
- (k) the licensee shall deposit with the Director the required bonds.

Surrender of
licence.

23. The licensee may, with the consent of the Minister, surrender the licence at any time subject to the payment of all outstanding royalties and other dues in respect of the licence.

Renewal of
licence.

24. (1) A licence issued under this Act may be renewed after the expiration of the period specified in the licence on application made to the Minister not less than thirty days before the expiry of the licence.

(2) The Minister may, on the recommendation of the Committee or on his own motion either renew the licence or refuse the application for renewal.

(3) Where the Minister refuses an application for a renewal of a licence he shall inform the applicant of the reasons for refusal in writing.

Rehabilitation
Bond.

25. (1) Every person to whom the Minister has decided to issue a licence shall, before the issue of the licence, deposit with the Director a bond in the form of a Rehabilitation Bond in such amount and form and subject to such conditions as may be prescribed for the proper rehabilitation of the land for which the licence is to be issued.

(2) The amount of the bond referred to in subsection (1) shall be determined having regard to the potential environmental impact that is likely to be caused by the mining operation for which the licence is issued.

(3) Where such rehabilitation as aforesaid is not carried out in accordance with the requirements of this Act, or of the Regulations or of the terms and conditions of the licence, the Minister may —

- (a) forfeit the bond deposited under subsection (1); and
- (b) authorise any person to enter upon the premises on which a mine is situated and perform such work as is necessary for the rehabilitation; and the cost thereof shall be recovered as a debt due by the licensee to the State, so, however, that such costs shall, in the first instance, be paid out of the moneys forfeited and the balance (if any) refunded in accordance with the regulations.

(4) Where the licensee fails to deposit the bond as required under subsection (1), the Minister shall refuse to issue the licence.

26. (1) Every person to whom the Minister has decided to issue a licence shall, before the issue of such licence, deposit with the Director a bond in the form of a Performance Bond which shall be held as security, for the non-payment of royalties and other dues arising out of any breach of the terms and conditions of the licence, the Act or the Regulations, in such amount and form as may be prescribed.

Performance
Bond.

(2) Where the licensee commits any breach of the terms and conditions mentioned in subsection (1), the Minister may forfeit the bond deposited under subsection (1) and costs and damages arising out of the breach shall be recovered as a debt due to the State.

27. (1) The Minister may, in writing, suspend or revoke a licence issued under the Act if he is satisfied that the licensee has —

Revocation of
licence.

- (a) obtained such licence by making misleading, false or fraudulent representations or providing incorrect information;

- (b) contravened the provisions of the Act or the Regulations;
- (c) contravened any of the terms, conditions or restrictions, stipulated in such licence;
- (d) discovered within, or in close proximity to the mine any mineral not authorised by the licence; or
- (e) been convicted of an offence under this Act or the Regulations.

(2) The Minister shall, prior to the suspension or revocation of the licence, give notice in writing to the licensee of the proposed suspension or revocation specifying the reasons thereof, and shall afford an opportunity to the licensee, within a specified time, to show cause as to why the licence should not be suspended or revoked.

Termination of licence.

28. (1) Where the holder of a licence dies or becomes bankrupt, the licence shall be deemed to be terminated with effect from the date on which the licensee dies or becomes bankrupt, and where the licensee dies the licence may be transferred to the heirs, successors or assigns of the deceased with the written consent of the Minister.

Ch. 81:01.

(2) Where the holder of the licence being a company registered under the Companies Act becomes bankrupt, the licence shall be deemed to be terminated from the date on which the company becomes bankrupt.

(3) Where the holder of a licence being a firm or partnership is dissolved, the licence shall be deemed to be terminated with effect from the date of dissolution of the firm or partnership.

PART IV

ENFORCEMENT

Taking of samples.

29. The Director or his representative may, at all reasonable times and with the permission of the owner, enter upon any land whether or not a licence has been issued under the Act in

respect of such land and take samples or specimens of soil, rock, minerals or tailings found on such land, for the purpose of examination and assay.

30. (1) The Director or his authorised representative may, at all reasonable times, for the purpose of carrying out geological and mineral investigations—

Powers of the
Director to
investigate.

- (a) enter upon and occupy any State Land with such persons, machinery, equipment and materials as are necessary for such purpose;
- (b) break up the surface of any part of such land and take samples or specimens of soil, rock or minerals in, on or under such land;
- (c) excavate, bore or drill such land and fix any post or other object thereon; and
- (d) enter upon and pass through any land, with the consent of the owner or occupier of such land, which it may be necessary for the purpose of carrying out such investigation.

(2) The Director or his authorised representative may at all reasonable times and for the purposes related to the enforcement of the Act and the Regulations—

- (a) inspect and examine, any premises where mining, or processing operations are conducted or where minerals are stored or where books, records and other documents pursuant to the requirements of the Act are kept;
- (b) examine and inquire into the conditions of any mine and all matters relating to the safety, welfare and health of the persons employed therein;
- (c) inspect and examine the conditions of any machines or other equipment used in such mine; and
- (d) inspect the storage of explosives on any mine and give directions where necessary for proper storage.

(3) The powers conferred on the Director under subsection (2) shall not be exercised unless—

- (a) in the case of State Lands notice in writing of the intention to do so is given to the Commissioner of State Lands and to the occupant thereof not less than fourteen days prior to the exercise of such power; or
- (b) in the case of private land notice in writing of the intention to do so is given to the owner or occupier of such land not less than fourteen days prior to the exercise of that power; and
- (c) the owner or occupier of such land consents in writing to the exercise of such power.

(4) In the exercise of his powers under subsections (1) and (2), the Director or his representative shall ensure that—

- (a) as little damage and inconvenience as possible shall be caused in the exercise of such power and the owner or occupier is assured of compensation for any damage sustained by him in consequence of the exercise of those powers; and
- (b) before abandoning such land, all persons, machinery, equipment and materials used thereon shall be removed and all excavations and bore or drill holes made thereon shall be filled.

PART V

RIGHTS AND DUTIES OF LICENSEE

Rights of the licensee.

31. (1) A licence to explore for minerals shall grant the licensee the exclusive right to explore for minerals specified in the licence within the area specified in the licence.

(2) A licence to mine for any mineral shall entitle the licensee to the exclusive right to mine for minerals specified in the licence within the area specified in such licence.

(3) A licence to process any minerals shall entitle the licensee to the exclusive right to process the minerals specified in the licence within the area specified in the licence.

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(4) A licence to import or export any mineral shall entitle the licensee to the right to import or export the mineral specified in the licence within the period of time specified in such licence.

32. The holder of a licence shall maintain in a safe place such books, records and other documents in relation to the activities authorised by the licence as may be required by the Director and shall submit to the Director such returns and information relating to such activities as the Director may from time to time require.

Licensee to maintain books.

33. The holder of a licence shall have the right to enter and conduct operations in any area of land specified in such licence.

Right to enter.

34. Where the licensee discovers during the course of the mining operations any minerals not authorised by the licence, he shall forthwith bring it to the notice and surrender the same to the Director.

Licensee to notify Director.

35. (1) The holder of a licence shall—

- (a) in furtherance of mining operations avoid damage to any adjoining or third party property;
- (b) have the boundaries to his property properly demarcated at all times, and shall maintain a buffer zone of ten feet from the boundaries of the said property on all sides; and
- (c) on completion of the operations authorised by the licence or on the date of expiration of his licence, whichever occurs earlier, rehabilitate and restore the land to the satisfaction of the Director.

Licensee not to damage other property.

(2) Where damage is caused to the adjacent land and the Director determines that the land has not been rehabilitated or restored to a satisfactory condition, the licensee shall be liable to pay compensation to the owner of the adjacent land in such amount as may be determined by the Director in the prescribed manner.

36. Subject to the provisions of any other law and to such conditions as may be prescribed, the licensee may erect or install plant, machinery and equipment and construct temporary buildings in the area specified in the licence.

Licensee may erect temporary buildings.

PART VI

HEALTH, SAFETY AND WELFARE OF WORKERS

Restriction on
employment of
persons.

37. (1) No person shall work or be engaged or permitted to work in a mine except as hereinafter provided.

(2) No person who has not completed the age of sixteen years shall work or be engaged or permitted to work in a mine at any time.

(3) On the application of any person who has completed the age of sixteen years and under the age of eighteen years and who wishes to work in a mine, or of a parent or guardian of such person or of the manager of the mine in which such person wishes to work, a medical practitioner nominated by the Director shall examine such person, and if satisfied that such person has completed sixteen years of age and under the age of eighteen years and is fit for a full day's work in a mine shall issue a certificate of fitness accordingly.

(4) No person over the age of sixteen years and under eighteen years of age shall work or be engaged or permitted to work in a mine unless a certificate of fitness issued in respect of such person is first deposited with the manager of the mine.

(5) At the time of engagement of a person over the age of sixteen years and under eighteen years of age as a worker, the manager of the mine shall issue to the person an identification badge bearing reference to the certificate of fitness deposited under subsection (4).

(6) No person over the age of sixteen years and under eighteen years of age shall work or be permitted to work in a mine unless he carries on his person while he is at work the identification badge issued to him under subsection (5).

(7) Any person working in a mine may, at the instance of the Director, be examined at any time by the medical practitioner, and if upon such examination he is found to be no longer fit to work in the mine, his certificate of fitness shall be revoked by the medical practitioner.

(8) Any person whose certificate of fitness is revoked under subsection (7), shall forthwith be discharged from employment by the manager of the mine.

38. (1) No person over the age of sixteen years and under eighteen years of age shall work or be permitted to work during the night in any mine.

Hours of work
for persons over
sixteen and
under eighteen.

(2) No person over the age of sixteen years and under eighteen years of age shall be ordered or compelled to work in a mine for more than eight consecutive hours on any one day, inclusive of one hour for meals and rest, or for more than forty hours during any five consecutive days.

(3) No person over the age of sixteen years and under eighteen years of age shall work or be permitted to work in any mine on any day on which he has already worked in any other mine for the maximum number of hours prescribed by subsection (2) for any one day.

39. (1) No adult worker shall be ordered or compelled to work in a mine for more than eight consecutive hours on any one day inclusive of one hour for meals and rest, or for more than forty hours during any five consecutive days.

Hours of work
for adults.

(2) No adult worker shall work or be permitted to work in any mine on any day on which he has already worked in any other mine for the maximum number of hours prescribed by subsection (1) for any one day.

40. Where the licence is for mining or processing the licensee shall provide those employees who work in, on or near the mine or processing plant with such clothing or protective gear as may be prescribed.

Protective gear.

41. (1) There shall be provided for the use of the workers in each mine, in such manner and according to such standards and specifications as may be prescribed—

Sanitary and
medical
equipment.

- (a) a supply of water suitable for drinking and water for washing;

(b) latrine accommodation; and

(c) a supply of splints, bandages and other medical and first-aid equipment.

(2) On the premises of every mine in which workers are ordinarily employed there shall be provided and maintained, for the purposes of first-aid treatment in the event of an accident, a special room conforming to such standards and fitted with such equipment of stretchers, accessories and drugs as may be prescribed.

(3) A member of the permanent staff, trained in first-aid or paramedical treatment up to such standards as may be prescribed, shall be on duty at all times on the premises of every mine when any work is carried on below ground in the mine.

Interpretation.

42. In this Part—

“adult” means a person who has completed eighteen years of age;

“day” means a period of twenty-four hours beginning at midnight;

“night” means the period between seven in the evening and six in the morning.

PART VII

PROTECTION OF ENVIRONMENT

Environment
Impact
Assessment and
Clearance.

Ch. 35:05.

43. (1) Every application for a licence under this Act shall be accompanied with an Environment Impact Assessment and a Certificate of Environmental Clearance issued by the Environmental Management Authority established under the Environmental Management Act.

(2) The Committee may request additional site specific and process information as it may require in determining an application for a licence.

(3) In the conduct of an Assessment under subsection (1), due consideration shall be given to, in addition to the conditions laid down by the Environmental Protection Authority—

(a) the preservation of the character of the environment including the fauna and flora;

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- (b) any possible effect on the water table or surface drainage pattern;
- (c) danger of pollution in the neighbourhood;
- (d) the nature and location of other land uses that could be affected by the operation;
- (e) the control of dust and debris on roads and in the vicinity;
- (f) the availability of natural environment for the enjoyment of the public;
- (g) the traffic density on the roads in the area where the mine is to be operated; and
- (h) any other factor which the Committee may stipulate.

(4) A licensee who by virtue of his actions, either advertently or inadvertently, causes damage to public or private property shall be liable to restore or rehabilitate the said property to its former state.

44. The holder of a licence issued under this Act shall, in addition to the conditions set out under this Act, carry out the operations authorised by such licence in compliance with such standards and procedures as are prescribed for the carrying out of such operations by the Environmental Management Act.

Environmental
Management
Act.

Ch. 35:05.

PART VIII

MISCELLANEOUS

45. (1) A person who—

- (a) explores for, or mines, processes, imports or exports, any mineral without a licence issued under this Act; or
- (b) mines in an area that is not a mining zone,

commits an offence and shall, on summary conviction, be liable to a fine of five hundred thousand dollars and imprisonment for a term of five years, and in the case of a subsequent conviction for such offence, shall be liable to a fine of seven hundred thousand dollars and imprisonment for a term of seven years.

Offences.
[4 of 2014].

(2) Any minerals extracted in the commission of an offence under subsection (1) may, in the discretion of the Court, be forfeited to the State.

(3) A person who—

- (a) knowingly purchases any mineral from a person who is not the holder of a licence under this Act or trade in such mineral; or
- (b) knowingly makes a false statement or fraudulent representation in or in connection with an application of a licence under this Act,

commits an offence and shall, on summary conviction, be liable to a fine of five hundred thousand dollars and imprisonment for a term of five years.

(4) A person who being the holder of a licence issued under this Act—

- (a) fails to notify the Director of the discovery of any minerals not authorised by the licence discovered by him in carrying out the operations authorised by the licence;
- (b) fails to maintain any book, record or other document that he is required to maintain by or under this Act;
- (c) obstructs or interferes with the Director or a person authorised by the Director, in the exercise by such person of any power conferred on such person by or under this Act;
- (d) pollutes any watercourse in the exercise of his mining operations,

commits an offence and shall on summary conviction be liable to a fine of five hundred thousand dollars and imprisonment for a term of five years.

(5) A person who contravenes this Act or the Regulations, commits an offence and where no punishment is specified shall on summary conviction be liable to a fine of two hundred thousand dollars and imprisonment for a term of two years.

46. No suit or prosecution shall lie against the State, Minister, a member of the Committee, the Director or any officer for any thing done or omitted to be done in good faith in the performance of their duties under the Act.

Immunity of the State.

47. (1) The issue of a licence under this Act shall not in any way dispense with the necessity to obtain planning permission where such permission is required under the Town and Country Planning Act.

Obligations under other laws.
Ch. 35:01.

(2) Where the approval of any other entity is required under any other written law with respect to the proposed operation, the issue of a licence under this Act, shall not affect in any way the requirement to obtain such other approval before the proposed operation commences.

48. (1) The Minister may make Regulations subject to negative resolution of Parliament in respect of matters that are required by this Act to be prescribed or in respect of which Regulations are required or authorised to be made under this Act.

Regulations.

(2) Notwithstanding the generality of the provisions of subsection (1), the Minister may, subject to subsection (3), make Regulations—

- (a) prescribing anything required or permitted by this Act to be prescribed;
- (b) determining mining procedures and issue operational guidelines;
- (c) determining the types and duration of licences and the procedure for issuing those licences;
- (d) for the health and safety of mine workers;
- (e) for the management of the mines and the appointment of quarry managers;
- (f) the keeping of books, records and other like matters of procedure;
- (g) prescribing the fees and royalties chargeable and the bonds payable in respect of licences;
- *(h) subject to the Explosives Act and the Factories Ordinance, for the storing of explosives, fuse or detonators and procedure for blasting;

Ch. 16:02.
Ch. 30. No. 2.

*See Proclamation (LN 48/2006)

- (i) for laying down the terms and conditions to be observed by the licensees;
- (j) for the prevention of pollution of land, water or air and for compensation therefor;
- (k) for the rehabilitation and/or restoration of mines;
- (l) for the general regulation and safe working of mines;
- (m) for the transportation of minerals;
- (n) guidelines and operational procedures for the exploration, import and export of minerals; and
- (o) for giving effect to and carrying out the objects, purposes and provisions of the Act.

(3) In making the Regulations—

- (a) under subsections (2)(d), (2)(h) and (2)(l), the Minister shall consult the Minister with responsibility for labour; and
- (b) under subsection (2)(j), the Minister shall consult the Minister with responsibility for environment.

Repeal and
Savings.
Ch. 61:01.

49. (1) The Mines, Borings and Quarries Act is repealed.

(2) Notwithstanding the repeal under subsection (1), the Regulations made under the Mines, Borings and Quarries Act shall *mutatis mutandis* remain valid as if made under this Act until such time regulations are made under this Act.

Transitional.

50. (1) Any approval or licence granted to mine or to operate a quarry or to conduct quarry operations and in force immediately before the commencement of this Act shall continue to be in force until such approval or licence expires or until a licence is granted under this Act or until the expiration of six months from the commencement of this Act whichever occurs earlier.

(2) A person who holds an approval or a licence referred to in subsection (1), may within six months from the commencement of this Act, apply for a licence in accordance with the requirements of this Act.

SUBSIDIARY LEGISLATION

DRILLING REGULATIONS

ARRANGEMENT OF REGULATIONS

REGULATION

1. Citation.
2. Interpretation.
3. Permission for drilling.
4. Supervision of drilling.
5. Exclusion of young persons.
6. Exits from derricks.
7. Safeguards.
8. Daily examination of drilling outfit.
9. Keeping of tools, etc., in a derrick.
10. Counterbalance.
11. Hook provided with latch.
12. Provision of safety belt and lifeline.
13. Prohibitions.
14. Boilers.
15. Combustion engines.
16. Use of electricity.
17. Provision of fire extinguishers and report on fire.
18. Precautions to prevent uncontrolled flow of oil or gas and waste of gas.
19. Escape of oil or gas.
20. Samples for investigation.
21. Programme of proposed work submitted to Engineer for approval.
22. Numbering of well.
23. Water-bearing formations.
24. Shutting off water.
25. Cementing of water-string.
26. Casing a satisfactory water shut-off.
27. Removal of drilling outfit or derrick.
28. Well producing water.

LAWS OF TRINIDAD AND TOBAGO

MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

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Chap. 61:03

Minerals

[Subsidiary]

Drilling Regulations

ARRANGEMENT OF REGULATIONS—*Continued*

REGULATION

- 29. Engineer to investigate complaint.
- 30. Forms I, II, III, IV and X.
- 31. Alterations or additions to forms.
- 32. Boilers or machinery.

SCHEDULE.

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UPDATED TO 31ST DECEMBER 2016

DRILLING REGULATIONS

made under section 25

G.27.7.40.
[2.11.44
270/1950
45 of 1979].

1. These Regulations may be cited as the Drilling Regulations. Citation.

2. In these Regulations— Interpretation.

“approved” means approved by the Engineer;

“drainage area of a well” means a circular area of one hundred and fifty feet radius having the centre of the borehole of the well at the surface as its centre except when the well is inclined from the vertical in which case the centre of the circular area shall be at a point at the surface approved by the Engineer;

“Engineer” means Chief Petroleum Engineer;

“natural gas” or “gas” means gas obtained from borings and consisting primarily of hydrocarbons;

“petroleum oil” or “oil” means the natural produce of the wells or springs of petroleum oil before such oil has been refined or otherwise treated;

“well” includes every borehole drilled or sunk, or in the course of being drilled or sunk for the purpose of producing petroleum oil, natural gas, brine, water, or any other substance, whether solid or liquid, and where the context so admits all works connected with, adjacent to and belonging to the borehole.

3. (1) Without the permission of the Engineer no borehole drilled for oil or gas shall be commenced with its centre at a lesser distance than one hundred and fifty feet from any boundary line except— Permission for drilling.

(a) when the owner of the well holds the oil rights underlying the lands on both sides of such boundary line under either the same lease or licence or as owner; or

(b) when there shall exist a reciprocal agreement or arrangement in writing between the owner of the well and all the lessors, licensees, and owners of the oil rights underlying the drainage area of the well or, where such oil rights are vested in the State between the owner of the well and the Minister, for pooling or sharing the crude oil produced from such well.

(2) Permission to commence drilling a borehole for oil or gas with its centre at a lesser distance than one hundred feet from the nearest boundary of a Public Road Reserve or State Trace Reserve will not be granted unless the owner is able to provide an alternative route for the users of such Public Road Reserve or State Trace Reserve to the satisfaction of the Minister.

Supervision of
drilling.

4. All drilling operations shall be continuously supervised by a competent person appointed by the manager.

Exclusion of
young persons.
[45 of 1979].

5. No person under the age of sixteen years shall be allowed on a derrick floor during the time any well is being drilled or repaired.

Exits from
derricks.

6. To every derrick there shall be two well-defined approaches which can also be used as exits in case of danger.

Safeguards.

7. (1) All moving parts of machinery shall be properly fenced off where possible.

(2) The sprockets and chains of rotary draw-works shall be provided with approved guards.

(3) The guard protecting the rotary table chain shall be capable of resisting the shock of a breaking chain.

(4) No person shall remove or make ineffective any safeguard while the same is in use unless for the purpose of making repairs thereto, and all such safeguards shall be properly replaced as soon as possible.

8. The works comprising the drilling outfit at any well shall be examined daily by the driller in charge, who shall be responsible for the condition thereof so far as general safety is concerned and shall record each day in a book kept for the purpose at the well the conditions found at the time of inspection. Such report shall be signed by the driller in charge.

Daily examination of drilling outfit.

9. Tools, machine parts or material of any kind shall not be kept in a derrick above the derrick floor unless required for immediate use, and adequate protection shall then be made to prevent their falling on persons below.

Keeping of tools, etc., in a derrick.

10. Every counterbalance shall clear the ground or derrick floor by not more than five feet unless suitable provision is made to prevent it falling on persons working below.

Counterbalance.

11. The hook attached to every drill pipe, casing, tubing and sucker rod shall be provided with a latch or other device to prevent the elevator links or other equipment becoming accidentally disengaged from the hook.

Hook provided with latch.

12. (1) A safety belt shall be provided at every derrick for the use of each employee working in the derrick above the derrick floor.

Provision of safety belt and lifeline.

(2) Every derrick shall be provided with a lifeline or lifelines securely fixed to the derrick in any place where employees are working in a derrick and to the ground at least fifty feet from the base of the derrick to afford means of escape.

(3) Where a wire lifeline is in use an approved carriage shall be provided.

13. (1) Smoking, the use of firearms, explosives, the ignition of any material or the use of naked lights is prohibited in an operating oilfield, except in places set aside for such purposes and notified as being safe by the manager.

Prohibitions.

(2) The drilling of a borehole for oil or gas with its centre within one hundred feet of any building in which fire or lights

other than enclosed electric lights are used is prohibited, and no such building shall be placed within one hundred feet of the centre of any borehole drilled for oil or gas which has not been securely sealed or closed to the satisfaction of the Engineer except his permission in writing has been first had and obtained.

(3) The housing of persons in dwellings, or the use of fire or lights other than enclosed electric lights, within one hundred feet of the centre of any borehole drilled for oil or gas is prohibited except such well has been securely sealed or closed to the satisfaction of the Engineer or except his permission in writing has been first had and obtained.

Boilers.

14. (1) No boiler shall be placed within one hundred feet of the centre of any borehole being drilled for or producing oil or gas.

(2) The controls regulating the fuel supply of boilers if placed in front of the firing aperture shall not be within twelve feet thereof unless such valves are at a minimum distance of four feet from the projected centre line of such boilers. Fire boxes of boilers within three hundred feet of the centre of any borehole being drilled for or producing oil or gas shall be fitted with a steam or water snuffer of sufficient size to cool quickly the brickwork or soot in the boiler, the snuffer valve to be operated manually by the fireman at the boiler.

Combustion
engines.

15. (1) The use of internal combustion engines, stationary or otherwise, within one hundred feet of the centre of a borehole being drilled for or producing oil or gas is only permitted when approved precautions are taken to prevent fire or explosion.

(2) Exhaust gases from internal combustion engines shall not be released into the atmosphere within one hundred feet of the centre of any borehole being drilled for or producing oil or gas provided that this regulation shall not apply to engines of automobiles nor to other internal combustion engines the exhausts of which are fitted with approved flame-proof attachments.

Use of
electricity.

16. (1) When electricity is used, approved precautions shall be taken as regards installation, operation and maintenance to prevent fire or explosion.

(2) All conductors, switch gear and electrical apparatus on derricks at drilling or producing wells or drilling pumps shall conform to the general regulations as to the installation and use of electricity made under the Coal Mines Act 1911, of the United Kingdom, Part I-(1 Below Ground).*

1 & 2 Geo.V
c.50.

(3) No bare conductors shall be used within fifty feet of the centre of any borehole being drilled for or producing oil or gas, and all other electrical apparatus within one hundred feet of the centre of any such borehole shall be so protected that open sparking (as defined under the above-mentioned Coal Mines Act 1911) is prevented.

(4) Every derrick at a well being drilled or brought into production shall be fitted with a switch installed adjacent to the driller's normal working position capable of entirely cutting off the current from the electrical installation in the derrick.

17. (1) The manager shall to the satisfaction of the Engineer provide and keep in readiness for immediate use some reasonable means of extinguishing fire convenient to each well under his control.

Provision of fire
extinguishers
and report on
fire.

(2) Whenever a gas or oil fire occurs on a well the manager shall forward a report of the circumstances and probable cause to the Engineer within forty-eight hours of the start of such fire.

18. (1) When a well is being drilled, approved precautions shall be taken to prevent any uncontrolled flow of oil or gas. Such precautions shall include the provision of at least two mud pumps, except in the case of a well being drilled to low pressure horizons approved as such by the Engineer or of a geological test hole being drilled to a maximum depth of one thousand feet or of a well connected to a mud plant provided with adequate pumps and approved as such by the Engineer.

Precautions to
prevent
uncontrolled
flow of oil or
gas and waste of
gas.

(2) The waste of petroleum products is prohibited. The manager shall use every possible precaution in accordance with the most approved methods, to stop and prevent all unreasonable waste

*Repealed and replaced by the Mines and Quarries Act 1954 (2 & 3 Eliz.2c.70) one of the Acts comprised in the Mines and Quarries Acts 1954 to 1971.

of gas in drilling and production operations, storage or distributing and shall not wastefully utilise gas or allow such gas to leak or escape from natural reservoirs, wells, tanks, containers or pipes.

(3) The term “waste” in addition to its ordinary meaning includes the destruction or dissipation of gas without economic use where it can be shown that such gas can be put to economic use.

(4) Such approved precautions as may be required by the Engineer shall be taken during drilling, production, storage and pumping operations to prevent the pollution of land, watercourses, foreshore and sea by oil or any other fluid or substance.

Escape of oil
or gas.

19. Any employee who notices any unusual escape of oil or gas from any well or anything that appears unsafe or likely to produce damage shall forthwith report it to the manager or person in charge.

Samples for
investigation.

20. The Engineer shall have the power to inspect and take copies of all geological or other information obtained by drilling, to inspect any samples of oil, gas or water, cores and drillings obtained from any well, and to take a reasonable portion of the samples he requires for any investigation.

Programme of
proposed work
submitted to
Engineer for
approval
Schedule.
Forms VIII and
IX.

21. (1) No person shall commence to drill, re-drill, deepen, plug, abandon any well or to alter, perforate or remove casing in it without first notifying the Engineer on the form set out as Form VIII or Form IX in the Schedule submitting a programme of the proposed work and obtaining his approval thereof. The Engineer may, in his discretion, withhold his consent to the commencement of any such work if any such person has not yet fulfilled any obligations imposed upon him by any law or regulation relating to oil mining operations.

Such notification shall also state whether the well to be drilled, re-drilled or deepened is intended to be vertical or inclined from the vertical. If the well is intended to be inclined from the vertical full information shall be furnished to the Engineer as to the proposed direction and destination of the well.

(2) Whenever any well is drilled, re-drilled or deepened, tests to determine the deviation from the vertical shall be taken at intervals of depth of not more than five hundred feet unless the permission of the Engineer to take such tests at greater intervals of depth has been previously obtained. The result of such tests shall be reported on the form set out as Form II in the Schedule, and if in the opinion of the Engineer the deviation of any well from the vertical or from its approved direction is such that any part of the well may be situated within one hundred and fifty feet of the boundary of oil rights which are not held by the owner of the well either as owner, lessee or licensee, he may order that the well be straightened or brought back to its approved direction, except when there shall exist a reciprocal agreement or arrangement in writing between the owner of the well and all the lessors, licensees and owners of the oil rights underlying the drainage area of the well for pooling or sharing the crude oil produced from such well and such order shall be complied with.

Schedule.
Form II.

(3) No explosives shall be used in any well without the permission of the Engineer who shall have the power to impose such conditions as he may consider necessary.

(4) Whenever any well is found to be in such a condition that, in the opinion of the Engineer—

- (a) water may have access to oilsands;
- (b) seepage of oil, water, mud or pitch from either inside or outside any string of casing in the well may cause pollution to land, watercourses, foreshore or sea;
- (c) the mechanical condition of the well or any surface fitting is dangerous,

the Engineer may by notice in writing to be served on the owner, agent or manager require him to carry out such measures as he may direct to repair, partially plug or completely abandon the well within a period of time to be specified in such notice. Should the requirements of any such notice not be complied with the Engineer or any other person authorised in writing by the Minister may enter the property and take all measures necessary to carry out the requirements of such notice.

Numbering of well.

22. When any well is commenced it shall be described by a certain number in the owner's records, and the number shall not be changed without the consent of the Engineer.

Water-bearing formations.

23. When water-bearing formations have been encountered in any well or when any information has been obtained indicating that water-bearing formations have been encountered, the owner, agent or manager shall immediately notify the Engineer.

Shutting off water.

24. After the conductor has been landed or cemented in any well, no further casing shall be landed or cemented in the well until the owner, agent or manager has informed the Engineer of the methods he proposes to use in shutting off any water which may have been encountered or of his reasons for wishing not to do so. The owner, agent or manager shall as soon as possible furnish to the Engineer on the form set out as Form V in the Schedule all information in his possession required by that form.

Schedule.
Form V.

Cementing of water-string.

25. When a water-string is cemented, unless the consent of the Engineer is obtained to a shorter period, not less than six days shall elapse between the completion of the cementing operations and the drilling out of the cement plug, provided however that when a rapid hardening cement or rapid hardening re-agent approved by the Engineer is used, or where the depth of the point of cementation exceeds three thousand feet, unless the consent of the Engineer is obtained to a shorter period, not less than three days shall elapse between the completion of the cementing operations and the drilling out of the cement plug.

Casing a satisfactory water shut-off.

26. After any string of casing other than a conductor has been landed or cemented, no further drilling shall take place until permission is obtained from the Engineer or a competent person approved by him has certified that the casing is a satisfactory water shut-off; when a person approved by the Engineer certifies the shut-off he shall forward to the Engineer a certificate in the form set out as Form VII in the Schedule, and such certificate shall be countersigned by the manager.

Schedule.
Form VII.

27. If water at any well has been reported during drilling and has not in the opinion of the Engineer been shut off in a satisfactory manner, the manager shall not remove the drilling outfit or derrick without the permission of the Engineer.

Removal of
drilling outfit or
derrick.

28. If a well commences to produce water the manager shall forthwith report the matter to the Engineer on Form VI and shall, if ordered by him, take such steps as may be necessary to protect the oil sands.

Well producing
water.
Form VI.

29. The owner, agent or manager may report to the Engineer if he has any reason to believe that the operations of a neighbouring owner are being conducted in such a manner as to allow of the access of water into the oil sands, or the unwarranted waste of gas or gas energy. The Engineer shall forthwith investigate the complaint and if he considers it necessary shall call upon the neighbouring and the complaining owners, agents or managers to appear before him at any inquiry he may consider it necessary to hold. The owners, agents or managers shall at this inquiry provide all available information in connection with the operations reported upon.

Engineer to
investigate
complaint.

30. On or before the 15th day of every month there shall be delivered to the Engineer at the Ministry on Forms I, II, III, IV and X of the Schedule all information requested therein which is in the possession of the owner, agent or manager.

Forms I, II, III,
IV and X.
Schedule.

31. The Minister may from time to time alter or add to the forms contained in the Schedule. Notice of such alterations or additions shall be published in the *Gazette*.

Alterations or
additions to
forms.
Schedule.

32. (1) No employee shall by default or wilful neglect cause any damage to boilers or machinery.

Boilers or
machinery.

(2) No employee shall sleep when in charge of boilers or machinery.

Regulation 30.

SCHEDULE

FORM I

Name of Company Field

Report of all wells worked on during the month of, 20.....,
other than wells reported on Form III.

If no wells have been worked on during the month a Nil return should be made.

Well No.	Date commenced or Recommended	Date completed	Depth at end of month	Status at end of month

Signed
Owner, Agent or Manager

All depths to be given in feet.

Regulations 21,
30.

FORM II

Log abstract for the month of 20.....,
CompanyField Loc. No. Well No.
State Lands, Alienated Lands (State Oil), Lands Alienated prior to 30.1.02.

[Delete where not applicable.]

Condition of well at end of month Depth Drilling System
Mining Lease Reg'd. No. Elevation of derrick floor above
M.S.L.

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UPDATED TO 31ST DECEMBER 2016

CASING RECORD

CASING						PERFORATIONS OR SCREEN			REMARKS
Size	Lbs./ft. Grade	Depth	L* or C	Drums of Cement.	Estimated Cement Fill	From	To	Size or Mesh	Cement baskets Packers Liner Hangers

*L or C- Landed or Cemented. All depths to be given in feet.

HOLE RECORD

Diam.	Depth	REMARKS
		Here indicate any information not indicated in Casing Record, such as sidetracked fish, Cement Plugs, etc.

DRILLING RECORD

Date	DEVIATIONS		C* or D	Depth of Base	REMARKS
	Depth	Degrees			
					Oil, Gas, Water. Description of Formation. General Histroy. Weight of mud, etc.

Date

Signed

Owner, Agent or Manager

* C or D—Cored or Drilled.

All depths to be given in feet.

FORM III

Regulation 30.

Name of Company Production report of individual wells for the month of Field

All wells including fresh water wells and idle wells other than those officially abandoned, should be shown below. Reason for wells being idle should be stated under "Remarks."

Well No.	Mech. Depth	Depth of Pump or Tubing	Method of Prod.	Prod. Horizon	Size of Bean	Day of Prod.	Production of dry oil in barrels of 35 Imperial Gals. at 60° F.		G.O.R. Cu. ft. per barrel	Pressures lbs. per sq. in.		Trap.	S.G. at 60° F.	Water prod. Bbls. C. Centrifuge G. Guaged	Remarks
							State	Private		Intake	C.H.P. T.H.P.				
Total															
Grand Total															

UNDER METHOD OF PRODUCTION.
 F.C. Flowing Casing. D.P. Displacement Pump.
 F.T. Flowing Tubing. P.P. Plunger Pump.
 G.L. Gas lift. S. Swabbing.
 A.L. Air lift. B. Bailing.
 P.L. Plunger lift. C. Collected from C.I. Recomprn. Sumps

UNDER "REMARKS."
 Closed in high G.O.R.
 " Awaiting repairs.
 " Awaiting abandonment.
 " Restricted production requirements.
 " Lack of Equipment.
 " Uneconomic to produce.
 " Awaiting re-completion in higher sand.

PRODUCING HORIZON.
 M.E. Morne l'Enfer.
 F. Forest.
 C. Cruse.
 O. Oligocene.
 E. Eocene.

Fresh water wells to be segregated at end of return

20 Signed
 Owner, Agent or Manager

LAWS OF TRINIDAD AND TOBAGO

MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

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Chap. 61:03

Minerals

[Subsidiary]

Drilling Regulations

FORM IV—Continued

(3) Quantity of Oil used for all purposes by the Company for their operations:

Description of Oil	On State Lands and Lands alienated subsequent to 29th January 1902	On Private lands and alienated prior to 30th January 1902
Crude Oil... ..		
Fuel Oil		
Petrol		
Kerosene		
Other Distillates		
Total Quantity ...		

(4) Quantities of Crude Oil otherwise disposed of an manner of disposal:

Local Sales	Exports	Total

(5) Quantity of Oil in stock on the of 20.....

Description of Oil	Qunatity in Stock
Crude Oil... ..	
Fuel Oil	
Petrol	
Kerosene	
Gas Oil	
Diesel Distillates	
Diesel Oil (Mixed)	
Other Distillates	
Lubricating Oil	
Road Oil	
Other Products	
Total Quantity ...	

20

.....
Signature of Owner, Agent or Manager

UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

FORM V—(CABLE)

**NOTIFICATION OF THE PRESENCE OF
WATER-BEARING STRATA**

Name of Company Field

Well No. Drilling system

Water was *noticed/suspected* on 20....., when at ft.

Diameter of open holeinches toft.

.....inches toft.

Description of water-bearing formation and thickness

Estimated rate of flow or height of fluid level

Was reliable sample obtained. State details

CASING IN WELL WHEN WATER WAS OBSERVED

Size	DEPTH		PERFORATIONS OR SCREEN			If cemented give details
	From	To	From	To	Size or Mesh	

It is believed that water is from..... feet for the following reasons:

Proposed method of dealing with this water

..... 20 Signed

Owner, Agent or Manager

All depths to be given in feet.

Regulation 24.

FORM V—(ROTARY)

**NOTIFICATION OF THE PRESENCE OF
WATER-BEARING STRATA**

Company Field..... Loc. No. Well No.

CASING RECORD

CASING						PERFORATIONS OR SCREEN		REMARKS
Size	Lbs./ft. Grade	Depth	L* or C	Drums of Cement	Esti- mated Cement Fill	From	To	Cement basket Packers. Liner Hanger etc.

HOLE RECORD

Diam.	Depth	REMARKS: Here indicate only information not included in Casing Record, such as sidetracked fish, Cement Plugs, etc.

Water was located by { Core Evidence
 Electrical log on 20....., when at ft.
 Formation tester.

Description of Water Sands (unnecessary when Electrical log is forwarded).

From	To	Description of Formation, Salinities of sand, etc.

Proposed method of dealing with this water: —

The above information was communicated to the Ministry by telephone on
, 20, and is hereby confirmed.

20

Signed
Owner, Agent or Manager

*L or C—Landed or Cemented.
 All depths to be given in feet.

UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

FORM VI

Regulation 28.

**NOTIFICATION OF THE COMMENCEMENT OF WATER
PRODUCTION IN AN OIL-WELL**

(To be sent in within 48 hours of such production of water).

Company Field..... Loc. No. Well No.

CASING RECORD

Size	Lbs./ft. Grade	Depth	L* or C	Drums of Cement	Esti- mated Cement Fill	PERFORATIONS OR SCREEN			REMARKS
						From	To	Size or Mesh	
									Cement baskets Packers Liner Hanger

HOLE RECORD

Diam.	Depth	REMARKS: Here indicate only information not included in Casing Record, such as Sidetracked Fish, Cement Plugs, etc.

An other information: —

Date water first noted, 20.....

Quantity (in Bbls. per day) water bbls. oil bbls.

How gauged: —

General remarks (give here any information as to source of water:

.....

Proposed method of excluding water: —

20

Signed

Owner, Agent or Manager

*L or C—Landed or Cemented.
All depths to be given in feet.

Regulation 26.

FORM VII

CERTIFICATE OF TEST FOR WATER SHUT-OFF

Company Field..... Loc. No. Well No.

CASING RECORD

CASING						PERFORATIONS OR SCREEN			REMARKS
Size	Lbs./ft. Grade	Depth	L* or C	Drums of Cement	Esti- mated Cement Fill	From	To	Size or Mesh	Cement basket Packers Liner Hangers etc.

HOLE RECORD

Diam.	Depth	REMARKS: Here indicate only information not included in Casing Record, such as side tracked fish, Cement plugs, etc.

At the request of the Engineer with whom the programme for the water Shut-off Test described below was agreed, we, the undersigned, witnessed the Test and report as follows:

In our opinion the water shut-off has been shown to be satisfactory.

Signed

Witness

20

Countersigned

Manager or his Deputy

*L or C—Landed or Cemented.
All depths to be given in feet.

UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

FORM VIII

Regulation 21.

NOTICE OF INTENTION TO START DRILLING NEW WELL

To be forwarded at least 14 days before drilling commences.

Name of Company

Location No. Well No. Field

Location description

[State whether on State Lands, Alienated lands (State Oil) or lands alienated prior to 30.1.02].

Mining Lease No.

Co-ordinates

Elevation of derrick floor feet above mean sea level.

We expect commercial oil-bearing formations at the following depths:

We expect water-bearing formations at the following depths:

Our tentative casing programme is as follows:

Size	Weight lbs./ft.	Grade	Depth ft.	Landed or Cemented

Our proposed programme is

20.....

Signed

Manager

Regulation 21.

FORM IX

**NOTICE OF INTENTION TO DEEPEN, REDRILL, PLUG, ALTER OR
PERFORATE CASING, ABANDON, USE EXPLOSIVE**

To be forwarded at least 14 days before work commences.

Company Field..... Loc. No. Well No.

CASING RECORD

CASING						PERFORATIONS OR SCREEN			REMARKS
Size	Lbs./ft. Grade	Depth	L* or C	Drums of Cement	Esti- mated Cement Fill	From	To	Size or Mesh	Cement basket Packers Liner Hangers etc.

HOLE RECORD

Diam.	Depth	REMARKS: Here indicate only information not included in Casing Record, such as side tracked fish, Cement plugs, etc.

Any other information:

Proposed Work is as follows:

20

Signed

Owner, Agent or Manager

*L or C—Landed or Cemented.
All depths to be given in feet.

FORM X

Regulation 30.

Natural Gas Return for the month of 20.....

Company Field

ESTIMATED PRODUCTION OF NATURAL GAS

From Wells produced by	Number of wells	Oil production (barrels)	Formational Gas/Oil Ratio Cu. ft. bbl.	Gas production Cu. ft.	Total Quantities of Gas Cu. ft.
Flowing*					
Pumping					
Other methods† ...					
Totals ...					
Gas produced from Gas wells or storage (Extend Total)					
GAS PURCHASED AND/OR TRANSFERRED FROM ANOTHER FIELD				Cu.ft.	
Name of vendor and/or Field					
(a)					
(b)					
(c)					
Total (Extend Total)					
Grand Total ...					
ESTIMATED DISPOSAL OF NATURAL GAS				Cu.ft.	
Gas converted to liquid at 30 Cu.ft.per imp. gallon					
Gas used as fuel					
Gas replaced in formation (Extend Total)					
GAS SOLD AND/OR TRANSFERRED TO ANOTHER FIELD				Cu.ft.	
Name of purchaser and/or Field					
(a)					
(b)					
(c) (Extend Total)					
Estimated quantity of Natural Gas vented as surplus					
Grand Total ...					
Total quantity of Natural Gas treated for liquid recovery					

Note: Gas quantities to be expressed to nearest 500,000 cu.ft.

Date

Signed

Owner, Agent or Manager

* To include self-operated plunger lift.

†To include Gas lift displacement pumping, and Plunger lift (except self-operated) but to exclude input gas used for lifting purposes.

MINES REGULATIONS

ARRANGEMENT OF REGULATIONS

REGULATION

1. Citation.
2. Ventilation.
3. Inspection and report by competent person.
4. Examination of and report on appliances and shafts.
5. Fencing of entrance.
6. Inspection of and report on dangerous mine.
7. Cases in which locked safety lamp to be used.
8. Conditions to be observed.
9. License to use explosive substance and circumstances in which to be used.
10. Fencing and securing of shaft.
11. Travelling road and working place to be made secure.
12. Provision of guides and means of communicating signals.
13. Machine for lowering and raising persons.
 Brake on drum shaft.
 Exposed and dangerous parts of machine to be fenced.
14. Ladder for ascent and descent.
15. Books to be provided and kept at office.
16. Wilful damage or unauthorised removal of appliances.
17. Directions to be observed.

MINES REGULATIONS

G.28.10.15.
[G.13.3.30].

made under section 25

1. These Regulations may be cited as the Mines Regulations. Citation.

2. (1) An adequate amount of ventilation shall be constantly produced in every mine to dilute and render harmless noxious gases to such an extent that the working places of the shafts, levels and workings of the mine and the travelling roads to and from these places shall be in a fit state for working and passing therein. Ventilation.

(2) In mines where safety lamps are required to be used, or when the Senior Inspector of Factories may direct, the quantity of air shall at least once in every month be measured and entered in a book to be kept for the purpose at the mine.

3. (1) A competent person or competent persons appointed by the owner, agent or manager for the purpose, not being contractors for getting minerals in the mine, shall within one hour before the commencement of each shift, and in addition once during each shift, inspect every part of the mine in which workmen are to work or pass during the shift, and shall ascertain the condition thereof, so far as the presence of gas, ventilation, roof and sides and general safety are concerned. Inspection and report by competent person.

(2) No workmen shall be allowed into the mine until it has first been examined and stated to be safe by such competent person.

(3) The inspection shall be made with a locked safety lamp, except in the case of any mine in which inflammable gas has not been found within the preceding twelve months.

(4) A report of each inspection specifying where noxious or inflammable gas (if any) was found present and what defects (if any) in roof or sides and what (if any) other source of danger were or was observed, shall be recorded without delay in a book to be kept at the mine for the purpose, and accessible to the workmen, and such report shall be signed by, and so far as the same does not consist of printed matter shall be in the handwriting of, the person who made the inspection.

Examination of
and report on
appliances and
shafts.

4. A competent person or competent persons appointed by the owner, agent or manager for the purpose, shall, once at least in every twenty-four hours, examine the state of the external parts of the machinery, the state of the guides and conductors in the shafts, and the state of the head gear, ropes, chains and other similar appliances of the mine which are in actual use both above ground and below ground, and shall once at least in every week examine the state of the shafts by which persons ascend or descend; and shall make a true report of the result of such examination; and every such report shall be recorded without delay in a book to be kept at the mine for the purpose, and shall be signed by the person who made the inspection.

Fencing of
entrance.

5. Every entrance to any place which is not in actual use or course of working or extension shall be properly fenced across the whole width of the entrance, so as to prevent persons inadvertently entering the same.

Inspection of
and report on
dangerous mine.

6. If at any time it is found by the person for the time being in charge of the mine or any part thereof, that by reason of inflammable gases prevailing in the mine or in that part thereof, or of any cause whatever, the mine or that part is dangerous, every workman shall be withdrawn from the mine or part so found dangerous, and a competent person appointed for the purpose by the owner, agent or manager shall inspect the mine or part so found dangerous, and if the danger arises from inflammable gas shall inspect the mine or part with a locked safety lamp; and in every case shall make a true report of the condition of the mine or part; and a workman shall not, except in so far as is necessary for enquiring into the cause of danger or for the removal thereof, or for exploration, be re-admitted into the mine, or part so found dangerous, until the same is stated by the person appointed as aforesaid not to be dangerous. Every such report shall be recorded in a book which shall be kept at the mine for the purpose, and shall be signed by the person who made the inspection.

7. No lamp or light other than a locked safety lamp of approved type shall be allowed or used—

Cases in which locked safety lamp to be used.

- (a) in any mine in which there is likely to be any such quantity of inflammable gas as to render the use of naked lights dangerous;
- (b) in any mine approaching a place in which there is likely to be an accumulation of inflammable gas;
- (c) in any mine in which an explosion of gas has caused any personal injury.

8. In any mine in which safety lamps are required to be used the following provisions shall apply:

Conditions to be observed.

- (a) a competent person appointed by the owner, agent or manager for the purpose shall at the surface examine every safety lamp immediately before it is taken into the workings for use, and ascertain it to be in safe working order and securely locked, and such lamps shall not be used until they have been so examined and found in safe working order and securely locked;
- (b) a safety lamp shall not be unlocked in the mine, except with special permit from the Senior Inspector of Factories;
- (c) a person shall not have in his possession any lucifer match or apparatus of any kind for striking a light, nor shall he have in his possession any contrivance for opening the lock of any safety lamp, nor shall he have in his possession any pipe or tobacco or smoking appliance.

9. No explosive substance shall be used in a mine below ground in which the use of a locked safety lamp is required, or which is considered dangerous by nature of its dry and dusty condition, unless a licence permitting its use be obtained from the Senior Inspector of Factories.

License to use explosive substance and circumstances in which to be used.

Any explosive substance shall only be used in the mine below ground as follows:

- (a) it shall not be stored in the mine and it shall not be taken into the mine, except in cartridges in a secure case or canister containing not more than five pounds weight;
- (b) in the process of charging or stemming for blasting, a person shall not use or have in his possession any iron or steel pricker, scraper, charger, tamping rod or stemmer;
- (c) no explosive shall be forcibly pressed into a hole of insufficient size, and when a hole has been charged, the explosive shall not be unrammed, and no hole shall be bored for a charge at a distance of less than twelve inches from any hole where the charge has missed fire;
- (d) all such precautions shall be taken and directions followed as may from time to time be directed by the Senior Inspector of Factories.

Fencing and securing of shaft.

10. The top and all entrances between the top and bottom of every shaft shall be properly fenced. When the natural strata are not safe, every working shaft shall be securely cased, lined or otherwise made secure.

Travelling road and working place to be made secure.

11. The roof and sides of every travelling road and working place shall be made secure, and a person shall not, unless appointed for the purpose of exploring or repairing, travel or work in any such travelling road or working place which is not so made secure.

Provision of guides and means of communicating signals.

12. Every working shaft in which persons are raised or lowered shall, if exceeding thirty yards in depth, be provided with guides and some proper means of communicating distinct and definite signals from the bottom of the shaft and from every entrance for the time being at work between the surface and the bottom of the shaft to the surface, and from the surface to the bottom of the shaft and to every entrance for the time being at work between the surface and the bottom of the shaft.

13. (1) There shall be attached to every machine worked by steam or mechanical power and used for lowering and raising persons, an adequate brake and a proper indicator (in addition to any marks on the rope), showing to the person who works the machine the position of the cage or bucket in the shaft.

Machine for lowering and raising persons.

(2) If the drum is not on the crank shaft, there shall be an adequate brake on the drum shaft.

Brake on drum shaft.

(3) Every fly wheel and all exposed and dangerous parts of the machinery used in and about the mine shall be kept securely fenced.

Exposed and dangerous parts of machine to be fenced.

14. A ladder permanently used for the ascent and descent of persons in the mine shall not be inclined at an angle less than ten degrees from the vertical or in an overhanging position, and every such ladder shall have substantial platforms at intervals of not more than twenty yards. All ladderways so used shall be securely partitioned off from the winding compartment.

Ladder for ascent and descent.

15. (1) The books mentioned in these Regulations shall be provided by the owner, agent or manager, and the books, or a correct copy thereof, shall be kept at the office at the mine, and the Senior Inspector of Factories and any person employed in the mine or any one having the written authority of the said Inspector or person so employed, may at all reasonable times inspect and take copies of and extracts from any such books; but nothing in these Regulations shall be construed to impose the obligation of keeping any such book or a copy thereof for more than twelve months after the book has ceased to be used for entries therein under these Regulations.

Books to be provided and kept at office.

(2) Any report required to be recorded in a book may be partly in print (including lithograph) and partly in writing.

16. No person shall wilfully damage or without proper authority remove or render useless any fence, place of refuge, safety lamp, guide, conductor, brake, rope, chain, signal, safety valve, steam gauge, water gauge or any other appliance or thing provided in any mine in compliance with these Regulations.

Wilful damage or unauthorised removal of appliances.

LAWS OF TRINIDAD AND TOBAGO

MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

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Chap. 61:03

Minerals

[Subsidiary]

Mines Regulations

Directions to be
observed.

17. Every person shall observe such directions with respect to working as may be given to him with a view to comply with these Regulations.

UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

QUARRY REGULATIONS

ARRANGEMENT OF REGULATIONS

REGULATION

1. Citation.

SAFETY OF THE QUARRY

2. Prevention of dangerous falls.
3. Quarry worked in or without steps or galleries.
4. Space between outside rails of tramways and edges of galleries.
5. Top of quarry and shaft to be fenced.

BLASTING

6. Storing of explosives, fuse or detonator.
7. Tool to be used for charging or stemming a hole.
8. Hole to be charged or fired by authorised person.
9. Warnings to be given before blasting.
10. Length of fuse.
11. Number of explosions and “all safe” signal.
12. Provision of shelters.
13. Charge that has missed fire.
14. Regulations for blasting to be displayed.

ACCESS TO THE QUARRY

15. Secure means of access.

MACHINERY AND PLANT

16. Making of further regulations.
17. External inspection of appliances by competent persons.
18. Cleaning of machinery.
19. Repair.

DUTIES OF OFFICIALS AND WORKMEN

20. Implementation of regulations.
21. Inspection of working place or appliances.
22. Workman to examine his working place.
23. Warning to be given.
24. Regulations to be posted at Quarry.

G..28.10.15.
[13.3.30
142/1946].

QUARRY REGULATIONS

made under section 25

Citation.

- 1.** These Regulations may be cited as the Quarry Regulations.

SAFETY OF THE QUARRY

Prevention of
dangerous falls.

- 2.** (1) The working of the sides, tops, or overburden of the quarry shall be carried on so as to prevent dangerous falls.

(2) The overburden or tops of the quarry, and all loose ground or material, shall be cleared far enough back from the edge of the quarry to prevent danger to the persons employed.

Quarry worked
in or without
steps or
galleries.

- 3.** When the quarry is worked in steps or galleries, these shall be of sufficient breadth in comparison with their height to ensure safety. When the quarry is worked without steps or galleries, sufficient support to the sides shall be left to ensure safety to those employed.

Space between
outside rails of
tramways and
edges of
galleries.

- 4.** There shall be a clear space between the outside rails of tramways and the edges of galleries, sufficient to prevent both the falling of stones from the wagons and the falling of the men themselves to galleries below. Where such a space is impracticable the edges shall be securely fenced.

Top of quarry
and shaft to be
fenced.

- 5.** The top of the quarry, if dangerous, and the top of every shaft shall be securely fenced.

BLASTING

Storing of
explosives, fuse
or detonator.

- 6.** (1) No explosive and no fuse or detonator shall be stored otherwise than in a magazine constructed and situated in accordance with the requirements and subject to the approval of the Senior Inspector of Factories.

(2) Explosives, fuses and detonators required for blasting shall be conveyed from the magazine to the quarry at the time required and shall be kept till used in secure cases or canisters so made and closed as to prevent any escape of the explosives and any danger from sparks. Detonators shall be kept in separate and secure boxes.

(3) Explosives, fuses and detonators not used in any blasting operation shall be returned immediately after any such operation to the magazine.

7. No iron or steel tool shall be used for charging or stemming a hole when dynamite is the explosive employed, and wooden rammers only shall be used. When powder is the explosive, copper or wooden rammers shall be used. No straw fuses shall be used. After firing a charge of any explosive, no further charge shall be introduced into the hole nor into any rent made until after the lapse of half an hour.

Tool to be used for charging or stemming a hole.

8. No hole shall be charged or fired by any person unless duly authorised by the owner, agent or manager.

Hole to be charged or fired by authorised person.

9. Due warning shall be given by an efficient system of signals before blasting may be commenced and when it is finished. In quarries where blasting occurs daily, it shall as far as possible take place only at fixed stated intervals. Where danger from blasting may arise to the public, efficient means shall be taken to give them due warning.

Warnings to be given before blasting.

10. The length of the fuse used shall in every case be sufficient to allow the firer to escape to a place of safety, and shall be so regulated that the charges shall explode at distinct intervals so that they may be easily counted.

Length of fuse.

11. The number of explosions shall be carefully counted and note taken thereof, and when the full number of explosions have been heard the signal for “all safe” shall be given by horn, bell or whistle.

Number of explosions and “all safe” signal.

12. A sufficient number of proper shelters shall be provided (which must be used by the persons employed) except where all leave the quarry during blasting.

Provision of shelters.

13. If a shot has apparently missed fire, no person shall be allowed to go near it until after a lapse of half an hour from the time the fuse was ignited. The person or persons whose shot has apparently missed fire shall immediately give warning of the same. A shot shall

Charge that has missed fire.

under no consideration be unrammed, bored or picked out and no hole shall be bored within twelve inches of the one that has missed fire. After the time specified has elapsed, another hole shall be bored for the purpose of dislodging or exploding the charge that has missed fire, and such hole shall be bored in such a direction that it will not touch at any point the hole containing the charge that has missed fire. After the shot has been fired a careful search shall be made amongst the material dislodged to recover the charge that has missed fire.

Regulations for blasting to be displayed.

14. The owner, agent or manager shall frame regulations as to intervals and signals for blasting, copies of which shall be kept constantly affixed in the quarry office, and in a sufficient number of places in and adjacent to the quarry.

ACCESS TO THE QUARRY

Secure means of access.

15. Secure means of access shall be provided by which workmen go to and from their working places.

MACHINERY AND PLANT

Making of further regulations.

16. Where machinery is used for raising and lowering persons, further regulations shall be made to suit the special circumstances of the case, copies of which shall be kept posted at the quarry.

External inspection of appliances by competent persons.

17. A competent person appointed for the purpose shall daily inspect the external parts of the plant, machinery and appliances, and shall forthwith make or cause to be made a true report, signed by himself, of the condition thereof, in a book to be kept at the quarry.

Cleaning of machinery.

18. Machinery shall not be cleaned while in motion.

Repair.

19. The machinery, appliances and permanent ways shall be kept in an efficient state of repair.

DUTIES OF OFFICIALS AND WORKMEN

Implementation of regulations.

20. The owner or some competent person appointed by him or his agent shall see that the provisions of the regulations relating to Quarries and any regulations hereafter made are carried out.

21. The owner, agent or manager or person in charge shall daily inspect every working place, and shall satisfy himself as to the safety of any rope or other appliance used by the workmen; he shall also record all dangers observed by him or notified to him by the workmen, in a book to be kept at the quarry for the purpose, which shall be duly signed.

Inspection of
working place
or appliances.

22. Each workman shall, before commencing work and during the course of it and especially after blasting, make a careful examination of his working place, and remove any loose rock, stone or ground which might be dangerous.

Workman to
examine his
working place.

23. No workman shall throw down rock, stones, ground or other material which might endanger other persons, without giving them warning and seeing that they have retired to a safe place.

Warning to be
given.

Every workman who notices anything that appears unsafe or likely to produce danger shall forthwith report it to the owner, agent, manager or person in charge.

24. A copy of the Regulations for the time being in force shall be kept constantly posted at the Quarry in a conspicuous place, where it can be conveniently read by persons employed.

Regulations to
be posted at
Quarry.

MINERALS (GENERAL) REGULATIONS

ARRANGEMENT OF REGULATIONS

REGULATION

PART I

PRELIMINARY

1. Citation.
2. Interpretation.

PART II

LICENCES

3. Licences and duration.
4. Competitive bidding for licence to mine on State Lands.
5. Application for licences.
6. Processing of licence applications.
7. Change of particulars of a licence application.
8. Minerals register.
9. Notification of licences.
10. Terms of licence granted.
11. Time limit for issue of licence.
12. Exploration Licence.
13. Commercial quantity of minerals.
14. Mining Licence.
15. Processing Licence.
16. Renewal of licence.
17. Assignment or transfer of a licence.
18. Technical duties of a licensee.
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20. Training.
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PART III

SPECIFIED AREAS, MINING ZONES AND BLOCKS

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UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

REGULATION

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- 36. Reports and accounting.
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SCHEDULE 1.
SCHEDULE 2.
SCHEDULE 3.
SCHEDULE 4.
SCHEDULE 5.

111/2015.

MINERALS (GENERAL) REGULATIONS

made under section 48

PART I

PRELIMINARY

Citation. **1.** These Regulations may be cited as the Minerals (General) Regulations.

Interpretation. **2.** In these Regulations—

“applicant” means a person who applies for a licence in accordance with the Act and these Regulations;

Ch. 57:01. “Commissioner” means the Commissioner of State Lands appointed under section 5 of the State Lands Act;

“Committee” means the Minerals Advisory Committee established under section 15(1) of the Act;

“integrated processing plant” means a processing plant situated within the same geographical boundaries as a mining operation;

“licence” means an Exploration Licence, Mining Licence or Processing Licence referred to in regulation 3;

“licensed area” means an area of land for which a licence has been granted under the Act;

“licensee” means any person who has been granted a licence in accordance with the Act and these Regulations;

“mine design plan” means a plan that sets out the entire layout of a licensed area including all pits, benches, stockpiles, buildings, and related roadways and describes the manner in which mining operations are conducted on the licensed area;

“operational guidelines” means the guidelines published by the Minister in accordance with section 48(2)(b) of the Act;

“processing plan” means a plan that describes in detail the processing operations, including the layout of the integrated processing plant or stand alone processing plant;

“rehabilitation plan” means the plan that describes in detail the manner in which a licensed area is to be restored after mining or processing operations for any suitable use thereafter;

“stand alone processing plant” means a processing plant situated on lands which are used only for processing operations.

PART II

LICENCES

3. The Minister may, in accordance with section 19 of the Act issue the following licences with respect to State or private lands:

Licences and duration.

- (a) an Exploration Licence, which shall be valid for two years from the date of issue;
- (b) a Mining Licence, which shall be valid for five years from the date of issue; and
- (c) a Processing Licence, which shall be valid for five years from the date of issue.

4. (1) For the purposes of section 17(1) of the Act, a competitive bidding process shall commence upon the publication of an invitation to bid in the *Gazette* and in at least two newspapers in daily circulation in Trinidad and Tobago.

Competitive bidding for licence to mine on State Lands.

(2) An invitation to bid shall specify —

- (a) the geographical location of the area of State Land;
- (b) the period during which bids are to be submitted;
- (c) the form in which bids are to be submitted; and
- (d) any other conditions and details which the Minister considers relevant.

(3) The Committee shall review the bids submitted and make recommendations to the Minister.

(4) The Minister may make a charge for any relevant information supplied to the applicant.

(5) The Minister shall consider the recommendations of the Committee and may select a bid having regard to all relevant factors, save that the Minister may, where he thinks fit, reject all proposals.

LAWS OF TRINIDAD AND TOBAGO

MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

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Chap. 61:03

Minerals

[Subsidiary]

Minerals (General) Regulations

Application for
licences.
Schedules 1, 2,
3 and 4.

5. (1) An application for a licence shall be made in the appropriate form set out in Schedule 1, 2, or 3 and submitted to the Committee.

- (2) An application for a licence shall be accompanied by—
- (a) evidence of the applicant's financial capacity and technical qualifications to undertake the operations for which the licence is sought; and
 - (b) the application fee specified in Schedule 4.

Schedule 4

Processing of
licence
applications.

6. (1) The Committee shall, on receipt of an application for a licence—

- (a) publish a notice of the application in the *Gazette* and at least two newspapers in daily circulation in Trinidad and Tobago; and
- (b) where applicable, conduct—
 - (i) title searches for ownership of surface and mineral rights;
 - (ii) company searches;
 - (iii) bankruptcy searches; and
 - (iv) searches for convictions for offences committed under the Act or Regulations.

(2) Within thirty days from the date of publication of a notice referred to in subregulation (1), a person may lodge an objection with the Committee on the grounds that it is inconsistent with, or would interfere with, his rights.

(3) The Committee shall review the application and consider any objections prior to advising the Minister of its recommendation.

(4) If the applicant fails to meet the requirements for the grant of the licence within ninety days of the submission of the application, the application shall be deemed to be invalid.

Change of
particulars of a
licence
application.

7. If, before the licence is granted or refused, a change occurs in respect of any particulars contained in the application, the applicant shall immediately inform the Committee in writing of such change.

UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

8. The Minister shall establish and maintain a minerals register comprising—

Minerals register.

- (a) applications for licences;
- (b) grants, assignments, renewals, surrenders, terminations, revocations and suspensions of licences;
- (c) Court decisions, arbitration awards, deeds or instruments of any kind relating to public and private mining rights; and
- (d) any other relevant information.

9. (1) Where there is a grant, assignment, renewal, surrender, termination, revocation or suspension of a licence, the Minister shall, as soon as practicable, publish a notice of that fact in the *Gazette* and at least two newspapers in daily circulation in Trinidad and Tobago.

Notification of licences.

(2) The notice referred to in subregulation (1) shall state—

- (a) the name of the holder of the licence or the assignee;
- (b) the general nature of the licence; and
- (c) the location of the licensed area.

10. The Minister may in consideration of the advice of the Committee, determine the terms and conditions he considers necessary for the grant of a licence.

Terms of licence granted.

11. If a licence is not executed within ninety days of the approval of the application, the right of the applicant to such licence shall be deemed to have lapsed, unless the delay is not due to the fault of the applicant.

Time limit for issue of licence.

12. (1) An Exploration Licence shall authorise a licensee to conduct—

Exploration Licence.

- (a) mapping, sampling, and testing of the licensed area; and
- (b) such other work related to exploration, as specified in the licence.

(2) An Exploration Licence granted to a licensee for State Lands shall be subject to a permit, licence or lease issued by the Commissioner.

(3) Where the land referred to in subregulation (2) is already leased by the State, the proposed licensee, if he is not the legal tenant, shall enter into a written agreement, authorised by the Commissioner, with the legal tenant in order to be granted permission for the proposed exploration activity.

(4) A holder of an Exploration Licence shall submit to the Minister a detailed report of the economic geology of the licensed area on or before the expiration date of his licence.

Commercial
quantity of
minerals.

13. (1) Where the holder of an Exploration Licence discovers a commercial quantity of minerals on private lands, he may apply for a Mining Licence.

(2) Where the holder of an Exploration Licence discovers a commercial quantity of minerals on State Lands, he may apply for a Mining Licence no later than three months after the expiration of his Exploration Licence.

Mining
Licence.

14. (1) A Mining Licence shall authorise a licensee to conduct—

- (a) mining, as specified in the approved mine design plan; and
- (b) any other work related to mining, as specified in the licence.

(2) Where the reserves of minerals are exhausted within the term of the licence, all rehabilitation works required under the licence shall be completed within the term or such other period as the Director may authorise in writing.

(3) A Mining Licence granted for State Lands shall be subject to a permit, licence or lease issued by the Commissioner.

(4) Where the land referred to in subregulation (3) is already leased by the State, the proposed licensee, if he is not the legal tenant, shall enter into a written agreement, authorised by the Commissioner, with the legal tenant in order to be granted permission for the proposed mining activity.

(5) Where the holder of a Mining Licence discovers minerals within the licensed area not authorised to be mined, he shall immediately inform the Minister in writing.

15. (1) A Processing Licence shall authorise the licensee to—

Processing
Licence.

- (a) establish a processing plant at an approved location;
- (b) process minerals as specified in the approved processing plan; and
- (c) conduct any other work as specified in the licence.

(2) A Processing Licence granted for State Lands shall be subject to a permit, licence or lease issued by the Commissioner.

(3) Where the land referred to in subregulation (2) is already leased by the State, the proposed licensee, if he is not the legal tenant, shall enter into a written agreement, authorised by the Commissioner, with the legal tenant in order to be granted permission for the proposed processing activity.

16. An application for renewal of a licence shall be in the appropriate form set out in Schedule 1, 2 or 3 and shall contain, where applicable—

Renewal of
licence.
Schedule 1, 2
or 3.

- (a) an updated mine design plan;
- (b) an updated rehabilitation plan;
- (c) a Certificate of Environmental Clearance;
- (d) eight originals of a Survey Plan—
 - (i) approved by the Director of Surveys for State Lands; and
 - (ii) registered by the Director of Surveys for private lands;
- (e) a Performance Bond and Rehabilitation Bond;
- (f) evidence that the licensee has paid all outstanding royalties due to the State;
- (g) a copy of the receipt for payment of the licence renewal fee; and
- (h) any other information that the Minister may request after review of the application.

Assignment or
transfer of a
licence.

17. (1) A licensee shall not assign or transfer his licence to another person without the prior written approval of the Minister.

(2) Any assignment or transfer of a licence made without the approval of the Minister shall be null and void from the date of assignment or transfer and may result in revocation of the licence.

(3) An application by the licensee for approval to assign or transfer his licence shall be made in writing to the Minister and shall include—

- (a) information with respect to the proposed assignee's or transferee's financial capacity and technical qualifications; and
- (b) any other information which the Minister deems necessary.

(4) Where the Minister refuses an application he shall notify the applicant in writing and give reasons for the refusal.

Technical
duties of a
licensee.

18. A licensee shall—

- (a) ensure, so far as is reasonably practicable, the safety, health and welfare at work of his employees;
- (b) ensure that the mining operations are conducted in the licensed area through the mine design plan;
- (c) give a detailed assessment of processing operations in a processing plan;
- (d) ensure that no open pits to collect water are left on exhausted areas on the licensed area, unless it forms part of the rehabilitation plan;
- (e) in keeping with the rehabilitation plan, rehabilitate all exhausted areas to the satisfaction of the Director;
- (f) in the event of the discovery of any ancient relics or archaeological specimens in or upon the licensed area, immediately report that discovery to the Minister and preserve the integrity of the area by stopping exploration, mining or processing or any related activity in the vicinity of the discovery; and

- (g) ensure that all waste material, solid and liquid, are disposed of in an environmentally sound manner in accordance with the Act, the licence and any other written law.

19. A licensee shall—

General duties
of a licensee.

- (a) conduct operations on the licensed area in a consistent and acceptable manner in accordance with the licence, the approved mine design plan, the processing plan, and any directive issued by the Minister;
- (b) ensure that the boundaries to the licensed area are properly demarcated at all times, and maintain a buffer zone of—
 - (i) at least three metres from the boundary of the licensed area on all sides; and
 - (ii) at least twenty-five metres from any watercourse passing through such area and any existing roadway;
- (c) keep the Minister indemnified at all times against any action, claim, demand or liability of whatever nature which may be brought against the Minister by any third party in relation to any matter arising out of the exercise of the rights granted by the licence;
- (d) conduct mining operations in accordance with the Act, the licence, operational guidelines published by the Minister and any other written law, including but not limited to those relating to health and safety in the workplace;
- (e) ensure that all water from any part of the licensed area is discharged in accordance with the Act and any other written law;
- (f) keep all plant, equipment, structures and roadways, and the licensed area in good condition;
- (g) not excavate any pit within the licensed area to a depth greater than ten metres without the prior written consent of the Minister;

- (h) establish and preserve a set-back distance of no less than three metres on all sides from any witness markers and monuments on the licensed area;
- (i) submit to the Director within one month of each anniversary date of the grant of the licence, a written review of the exploration, mining or processing operations, including managerial, technical and commercial areas;
- (j) submit to the Minister such reports as may be required to be submitted under the Act, these Regulations or the licence;
- (k) operate without unreasonable delay and ensure such operations continue without interruption;
- (l) ensure that a copy of these Regulations are kept posted at the licensed area in a conspicuous place, where it can be conveniently read by persons employed thereupon;
- (m) ensure that access to and from working places are secure; and
- (n) comply with all the terms and conditions contained in the licence.

Training.

20. A licensee shall—

- (a) maintain an effective programme of training and development for his employees throughout the term of the licence; and
- (b) encourage his employees to participate in all aspects of mineral development.

Construction of temporary buildings on State Lands.

21. A licensee shall not construct any buildings or structures on State Lands without the prior written consent of the Commissioner.

Removal of top soil or overburden.

22. A licensee shall not remove topsoil or overburden outside of the licensed area without the prior written consent of the Director.

Signage.

23. A licensee shall ensure that signage, as specified in the licence, is prominently displayed at the entrance of every licensed area and affixed to every excavator, vehicle, machine

and equipment used in the course of conducting exploration, mining or processing operations upon the licensed area.

24. One month prior to the commencement or cessation of exploration, mining or processing operations, the licensee shall notify the Director, in writing of the date on which such operations will commence or cease.

Notification of commencement or cessation of mining.

25. (1) A licensee shall be at liberty to terminate his licence in whole or in part.

Voluntary termination of the licence.

(2) A licensee shall submit to the Minister advance written notice of not less than six days of his decision to terminate.

(3) A termination under this regulation shall not affect any obligations or liabilities imposed on, or incurred by the licensee under his licence.

PART III

SPECIFIED AREAS, MINING ZONES AND BLOCKS

26. (1) For the purposes of section 8(1)(c), (f) and (g) of the Act, notice of a specified area, mining zone, or mining block shall be published to the effect in the *Gazette* and in at least two newspapers in daily circulation in Trinidad and Tobago.

Specified areas, mining zones and blocks.

(2) The Minister, acting on the advice of the Committee, may declare that an area is no longer a specified area, mining zone, or mining block and shall publish a notice in the *Gazette* and at least two newspapers in daily circulation in Trinidad and Tobago.

(3) In the exercise of his functions under section 8(1)(c), (f) and (g) of the Act and subregulation (2), the Minister shall have regard to—

- (a) holders of licences in the specified area, mining zone or mining block, as the case may be;
- (b) residents in the specified area, mining zone or mining block, as the case may be;
- (c) the immediate environment; and
- (d) any other matter that is in the public interest.

PART IV

MANAGEMENT OF MINES AND APPOINTMENT OF MANAGERS

Quarry
manager.

27. (1) The holder of a Mining Licence shall employ a quarry manager, who shall be responsible for the control, management and direction of the mine.

(2) A quarry manager shall—

- (a) hold at a minimum, a recognised diploma in a science or engineering field related to the extractive industries and have at least three years of quarry management experience; or
- (b) in the opinion of the Director, have a combination of training and experience equivalent to those referred to in paragraph (a).

(3) A licensee shall register his quarry manager with the Ministry and the Ministry shall assign to the quarry manager a registration number.

(4) The quarry manager shall be responsible for—

- (a) establishing of a management structure, which enables the mine to be operated in accordance with the mine design plan and any operational guidelines issued by the Minister;
- (b) ensuring that a copy of the management structure of the mine is posted in an area accessible to every employee;
- (c) defining the extent of the authority and the duties of the persons in the said management structure;
- (d) maintaining a record of all employees working within the licensed area;
- (e) maintaining a record of all persons visiting the licensed area;
- (f) maintaining a daily record or logbook, to be left at the licensed area, of all motor vehicles and equipment operating in, entering and exiting the licensed area; and
- (g) overseeing and implementing the rehabilitation plan.

- (5) The quarry manager shall, on a daily basis—
- (a) inspect the licensed area and shall be responsible for the safety of the equipment used by all employees;
 - (b) record in a logbook all potential hazards reported or observed at the licensed area; and
 - (c) certify in the logbook whether it is safe to start up mining operations for the respective day.

28. A licensee shall not operate a quarry without a registered quarry manager.

Registered quarry manager to operate a quarry.

29. (1) The quarry manager shall, within forty-eight hours of receiving a report on, or observing any actual or potential hazard within the licensed area, take reasonable steps to implement procedures to prevent, eliminate or minimise the hazard.

Duty of quarry manager to report accidents.

(2) The quarry manager shall submit to the Director, within twenty-four hours of the occurrence of any accident or loss-time incident in the licensed area, a written report of the occurrence.

(3) The quarry manager shall keep within the licensed area a copy of all reports made to the Director.

30. (1) A holder of a Processing Licence shall employ a processing manager who—

Processing manager.

- (a) holds the minimum qualification of a recognised diploma in a science or engineering field and has at least two years of process plant experience; or
- (b) in the opinion of the Director, has a combination of training and experience equivalent to those referred to in paragraph (a).

(2) A licensee shall register his processing manager with the Ministry and the Ministry shall assign to the processing manager a registration number.

- (3) The processing manager shall be responsible for—
- (a) establishing a management structure for the processing operations, which enables the processing plant to be operated in accordance with the processing plan and any operational guidelines issued by the Minister, from time to time;
 - (b) ensuring that a copy of the management structure of the processing plant is posted in an area accessible to every employee;
 - (c) defining the extent of the authority and the duties of the persons in the said management structure;
 - (d) maintaining a record of all employees on the licensed area, for a stand alone processing plant;
 - (e) maintaining a record of all persons visiting the licensed area, for a stand alone processing plant;
 - (f) maintaining a daily record or logbook, to be left at the licensed area for a stand alone processing plant, of all motor vehicles and equipment operating in, entering and exiting the licensed area; and
 - (g) overseeing and implementing the rehabilitation plan, for a stand alone processing plant.
- (4) The processing manager for a stand alone processing plant, shall on a daily basis—
- (a) inspect the licensed area and equipment used by all employees;
 - (b) record in a logbook, to be left at the licensed area, all potential hazards brought to his attention or observed; and
 - (c) certify in the logbook that it is safe to start up processing operations for the respective day.

Failure to appoint and register a processing manager.

31. A licensee shall not operate a processing plant without a registered processing manager.

Duty of processing manager to report accidents.

32. (1) The processing manager for a stand alone processing plant shall, within forty-eight hours of receiving a report on, or observing any actual or potential hazard within the

licensed area, take reasonable steps to implement procedures to prevent, eliminate or minimise the hazard.

(2) The processing manager for a stand alone processing plant shall submit to the Director within twenty-four hours of the occurrence of any accident or loss-time incident in the licensed area, a written report of the occurrence.

(3) The processing manager shall keep within the licensed area a copy of all reports made to the Director.

33. Where there is an integrated processing plant in a licensed area the quarry manger shall have overall responsibility for all operations.

Quarry manager
overall
responsibility
for all
operations.

PART V

FINANCIAL OBLIGATIONS

34. (1) The value of a Rehabilitation Bond referred to in section 25(1) shall be calculated at the rate specified in Schedule 4.

Rehabilitation
Bond.
Schedule 4.

(2) The Rehabilitation Bond shall be deposited with the Director and shall be of at least the same duration as the relevant licence.

(3) Where the licensee rehabilitates to the satisfaction of the Director, the land for which the licence was issued and adheres to the terms and conditions of the licence, the Director shall return the Rehabilitation Bond to him.

35. (1) The value of a Performance Bond referred to in section 26(1) shall be calculated at the rate specified in Schedule 4.

Performance
Bond.

(2) The Performance Bond shall be deposited with the Director and shall be of at least the same duration as the relevant licence.

(3) Where the licensee performs the terms and conditions of the licence to the satisfaction of the Minister, the Director shall return the Performance Bond to him.

PART VI

RECORD KEEPING

Reports and
accounting.

36. (1) A licensee shall maintain and keep at his place of business accurate and up-to-date records that specify in detail all activities at the licensed area which includes the quantity of minerals mined, processed, imported, exported, transported or sold.

(2) A licensee shall deliver to the Minister copies of the records referred to in subregulation (1) within thirty days of the end of each calendar quarter.

(3) Notwithstanding subregulation (2), the Minister may request records referred to in subregulation (1) from a licensee.

(4) All records referred to in subregulation (1) shall be kept by the licensee for the following periods:

- (a) four years, with respect to a licence that is granted for two years; and
- (b) ten years, with respect to a licence that is granted for five years.

Duty to
maintain
records.

37. (1) A licensee shall, at his registered place of business, maintain accurate and up-to-date records of all vehicles and equipment used in the course of conducting mining or processing operations on the licensed area.

(2) Where any record referred to in subregulation (1) is modified, amended or updated, the licensee shall notify the Director within two months of such modification, amendment or update.

(3) A licensee shall not falsify any records that are required to be kept under the Act, these Regulations, the licence or any other written law.

Confidentiality.

38. (1) All reports, maps, samples and any other data derived from any exploration operations on State Lands, or on private lands where the State owns the mineral rights, shall be the property of the State.

(2) The Minister may request from a licensee any data referred to in subregulation (1).

(3) Where any data referred to in subregulation (1) is requested under subregulation (2), the licensee shall submit the data within thirty days of the request.

(4) Unless otherwise directed by the Minister, all data referred to in subregulation (1) shall be kept confidential during the term of the licence and for a further period of not less than five years after the expiration of the licence.

PART VII

ROYALTY

39. (1) A licensee mining on State Lands or private lands shall pay royalties to the Permanent Secretary of the Ministry responsible for mines in respect of minerals mined in the licensed area within the time period specified under his Mining Licence. Payment of royalties.

(2) Subject to subregulation (1), where a licensee can prove to the satisfaction of the Minister that he owns the mineral rights mined in the licensed area he will not be liable to the payment of royalties.

(3) The Minister may grant an extension of time or enter into a financial arrangement with a licensee for the payment of outstanding royalties.

(4) The royalty payable under these Regulations shall be calculated using the current average market value for unprocessed minerals mined and charged on a per cubic metre basis as set out in Schedule 5. Schedule 5.

(5) Where a licensee fails to pay his royalties by the specified date or reneges on the financial arrangement agreed to in accordance with subregulation (3), the Minister may by notice to the licensee, prohibit the disposal of any minerals from the licensed area.

(6) A licensee shall comply with a notice issued to him under subregulation (5).

Royalty due.

40. (1) The Minister may, by notice in writing of not less than sixty days, elect to take any minerals in kind in lieu of the whole or part of the royalty due.

(2) All payment of royalties shall be made to the Permanent Secretary of the Ministry on a quarterly basis as specified by the Minister.

PART VIII

MINIMUM PAYMENTS AND RENTAL

Surface rent.

Ch. 57:01.

41. With respect to State Lands a licensee shall pay to the Commissioner, where applicable, an annual surface rent and any other payments as required under the State Lands Act or any other written law.

SCHEDULE 1

Regulation 5(1).

Application Form for Exploration Licence

Application Type: New Licence <input type="checkbox"/>		
Renewal <input type="checkbox"/>		
APPLICANT DETAILS		
Applicant's Name (Name in which licence is to be granted)		
Applicant's Address		
Tel Number	Fax Number	Email
Company / Business Registration No.		
Name of Person Authorised to Sign on Behalf of Applicant (for a Company or Business)		ID / DP / Passport # (copies of two types required)
Board of Inland Revenue Number		Vat Registration Number
EXPLORATION DETAILS		
Location of site to be explored		
Acreage (hectares)	Land Status (State or Private)	Name of Land Owner
Ward Sheet No.	Topographic Sheet No.	Mineral(s) of Interest
EXPLORATION MANAGER DETAILS		
Exploration Manager Name	Address	Tel Number / Fax / Email
Qualifications	Experience (include on separate sheet if necessary)	

DECLARATION/UNDERTAKING

In accordance with section 45 (3) (b) of the Minerals Act, Chap 61:03, I _____
hereby declare that the particulars and the statements made in this application are true and correct to the best of my knowledge and belief and nothing has been concealed or held therefrom. I understand that it is an offence, punishable by a fine and imprisonment, to make a false declaration.

Signature of the Applicant _____ Date ____/____/____
(dd/mm/yyyy)

UNOFFICIAL VERSION

L.R.O.

UPDATED TO 31ST DECEMBER 2016

SCHEDULE 1—Continued

Application Form for Exploration Licence

FOR OFFICIAL USE ONLY

Name of Officer Processing Application _____ Date Received: _____

Signature of Officer Processing Application _____ / /
(dd/mm/yyyy)

GUIDELINES FOR APPLICANTS

1. Please complete all sections legibly.
2. Supplemental pages are to be inserted where required.
3. This form must be submitted in duplicate.
4. Please retain a copy of your application.
5. The prescribed fee must accompany application.
6. All financial data submitted are to be quoted in Trinidad and Tobago (TT) dollars only.
7. Copies of the following are required, where applicable:
 - a. Title Documents, and Lease/permit (where Applicant is not the owner of land)
 - b. Copies of previous Exploration Licences granted to the Applicant
 - c. Four (4) Originals of a Survey Plan
 - i. Approved by the Director of Surveys, for State land
 - ii. Registered by the Director of Surveys, for Private land
 - d. Topographic Map
 - e. Town and Country Planning Approval
 - f. Certificate of Environmental Clearance (CEC)
 - g. A letter from a recognised financial institution (indicating that the Applicant possesses the financial capacity to conduct the operations for which a licence is being sought)
 - h. Certificates and other qualifications of the Exploration Manager (indicating that the Applicant possesses the technical qualifications necessary to conduct the operations for which a licence is being sought)
 - i. Exploration Programme (detailing the mapping, sampling and testing etc. to be done)
 - j. Performance Bond (to be posted if licence is approved)
 - k. Rehabilitation Bond (to be posted if licence is approved)
 - l. Receipt for payment of licence application / renewal fee
 - m. Any other documents as required from time to time

UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

SCHEDULE 2

Regulation 5(1).

Application Form for Mining Licence

Application Type: New Licence <input type="checkbox"/>		
Renewal <input type="checkbox"/>		
APPLICANT DETAILS		
Applicant's Name (Name in which licence is to be granted)		
Applicant's Address		
Tel Number	Fax Number	Email
Company / Business Registration No.		
Name of Person Authorised to Sign on Behalf of Applicant (for a Company or Business)		ID / DP / Passport # (copies of two types required)
Board of Inland Revenue Number		Vat Registration Number
MINE DETAILS		
Mine Location		
Acreage (hectares / acres)	Land Status (State or Private)	Name of Land Owner
Ward Sheet No.	Topographic Sheet No.	Mineral(s) of Interest
MANAGER DETAILS		
Quarry Manager Name	Address	Tel Number / Fax / Email
Qualifications		Experience (include on separate sheet if necessary)
Blaster's Name (If explosives are to be used)	Address	Tel Number / Fax / Email
Qualifications		Experience (include on separate sheet if necessary)

SCHEDULE 2—Continued

Application Form for Mining Licence

EQUIPMENT DETAILS (please specify types and numbers)			
(please tick)	(✓)		(✓)
Dragline	<input type="checkbox"/>	Dump Trucks	<input type="checkbox"/>
Loader	<input type="checkbox"/>	Pick Up	<input type="checkbox"/>
Excavator	<input type="checkbox"/>	Back Hoe	<input type="checkbox"/>
Tractor	<input type="checkbox"/>	Water Truck	<input type="checkbox"/>
Diesel Fuel Truck	<input type="checkbox"/>		
Other (please state) _____			
OPERATIONAL DETAILS			
Electricity Source _____		Daily Consumption _____	
Water Source _____		Daily Consumption _____	
Fuel Storage _____		Daily Consumption _____	
Lubricant Storage _____		Daily Consumption _____	
Explosives Storage _____		Consumption / Frequency _____	
Additional Remarks/ Details			

DECLARATION/UNDERTAKING

In accordance with section 45 (3) (b) of the Minerals Act, Chap 61:03, I _____
hereby declare that the particulars and the statements made in this application are true and correct to the best of my knowledge
and belief and nothing has been concealed or held therefrom. I understand that it is an offence, punishable by a fine and
imprisonment, to make a false declaration.

Signature of the Applicant _____ Date / /
(dd/mm/yyyy)

FOR OFFICIAL USE ONLY

Name of Officer Processing Application _____ Date Received: _____

Signature of Officer Processing Application _____ / /
(dd/mm/yyyy)

GUIDELINES FOR APPLICANTS

1. Please complete all sections legibly.
2. Supplemental pages are to be inserted where required.
3. This form must be submitted in duplicate.
4. Please retain a copy of your application.
5. The prescribed fee must accompany application.

UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

Application Form for Mining Licence

6. All financial data submitted are to be quoted in Trinidad and Tobago (TT) dollars only.
7. Copies of the following are required, where applicable:
 - a. Title Documents, and Lease/permit (where applicant is not the owner of land)
 - b. Copies of previous Mining Licences granted to the Applicant
 - c. Proof of Ownership of Mineral Rights
 - d. Evidence of Satisfaction of all outstanding royalty payments
 - e. Eight (8) Originals of a Survey Plan
 - i. Approved by the Director of Surveys, for State land
 - ii. Registered by the Director of Surveys, for Private land
 - f. Certificate of Incorporation / Certificate of Business Registration
 - g. Organizational Structure for Mining operations
 - h. A letter from a recognised financial institution (indicating that the Applicant possesses the financial capacity to conduct the operations for which a licence is being sought)
 - i. Mine Design Plan (to be completed using template)
 - j. Rehabilitation Plan (to be approved by the Environmental Management Authority)
 - k. Certificate of Environmental Clearance (CEC)
 - l. Town and Country Planning Approval
 - m. Water Abstraction Permit (from the Water and Sewerage Authority)
 - n. Certificates and other qualifications of the Quarry Manager (indicating that the Applicant possesses the technical qualifications necessary to conduct the operations for which a licence is being sought)
 - o. Certificates and other qualifications of the Blaster (where explosives are to be used)
 - p. Performance Bond (to be posted if licence is approved)
 - q. Rehabilitation Bond (to be posted if licence is approved)
 - r. Receipt for payment of licence application / renewal fee
 - s. Land and building taxes receipt (most recent)
 - t. Any other documents as required from time to time

Regulation 5(1).

SCHEDULE 3

Application Form for Processing Licence

Application Type: New Licence <input type="checkbox"/> Renewal <input type="checkbox"/>		
APPLICANT DETAILS		
Applicant's Name (Name in which licence is to be granted)		
Applicant's Address		
Tel Number	Fax Number	Email
Company / Business Registration No.		
Name of Person Authorised to Sign on Behalf of Applicant (for a Company or Business)		ID / DP / Passport # (copies of two types required)
Board of Inland Revenue Number		Vat Registration Number
PROCESSING LOCATION DETAILS		
Processing Plant Location (for existing plants, or address of land on which plant is to be located; please check box and specify below)		
Existing Processing Plant <input type="checkbox"/>		
New Plant to be installed <input type="checkbox"/>		
Integrated Processing Plant <input type="checkbox"/> Stand Alone Processing Plant <input type="checkbox"/> (please check appropriate box)	If the Processing Plant is Integrated with a mining operation (i.e. located within / will be located within the same licensed acreage / acreage to be licensed, as a mining operation) provide name and number on the Mining licence or name of applicant on Mining licence application form, for the said acreage (if available):	
Land Area (hectares / acres)	Land Status (State or Private)	Name of Land Owner
Ward Sheet No.	Topographic Sheet No.	
MANAGER DETAILS		
Processing Manager Name	Address	Tel Number / Fax / Email
Qualifications		Experience (include on separate sheet if necessary)

UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

Application Form for Processing Licence

PROCESSING OPERATIONS DETAILS	
(please tick) (✓)	Mineral(s) to be Processed and Source of Mineral(s)
Washing Screening Crushing Blending	<div style="display: flex; align-items: center;"><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black;"></div></div>
Other (please state)	
PROCESSING PLANT DETAILS	
Plant Type (Fixed or Mobile)	Product Sizes:
Make and Model of Plant	1.
New or Used Plant (and year of manufacture)	2.
Plant Capacity (include units)	3.
(please tick) (✓)	4.
Primary Crusher Secondary Crusher Tertiary Crusher Kiln Furnace Other (please specify):	5.
<div style="display: flex; align-items: center;"><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black;"></div></div>	6.
Electricity Source _____	Daily Consumption _____
Water Source _____	Daily Consumption _____
Fuel Storage _____	Daily Consumption _____
Lubricant Storage _____	Daily Consumption _____
OTHER EQUIPMENT DETAILS (please specify types and numbers)	
(please tick) (✓)	(✓)
Fork Lifts / Crane Loader Excavator Tractor Diesel Fuel Truck	Dump Trucks Pick Up Back Hoe Water Truck
<div style="display: flex; align-items: center;"><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black;"></div></div>	<div style="display: flex; align-items: center;"><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div><div style="width: 20px; height: 20px; border: 1px solid black;"></div></div>
Other (please state)	
Additional Remarks/ Details	

DECLARATION/UNDERTAKING

In accordance with section 45 (3) (b) of the Minerals Act, Chap 61:03, I _____
hereby declare that the particulars and the statements made in this application are true and correct to the best of my knowledge
and belief and nothing has been concealed or held therefrom. I understand that it is an offence, punishable by a fine and
imprisonment, to make a false declaration.

Signature of the Applicant _____ Date / /
(dd/mm/yyyy)

UNOFFICIAL VERSION

L.R.O.

UPDATED TO 31ST DECEMBER 2016

SCHEDULE 3—Continued
Application Form for Processing Licence

FOR OFFICIAL USE ONLY

Name of Officer Processing Application _____ Date Received: _____

Signature of Officer Processing Application _____ / /
(dd/mm/yyyy)

GUIDELINES FOR APPLICANTS

1. Please complete all sections legibly.
2. Supplemental pages are to be inserted where required.
3. This form is required to be submitted in duplicate
4. Please retain a copy of your application.
5. The prescribed fee must accompany application.
6. All financial data submitted are to be quoted in Trinidad and Tobago (TT) dollars only.
7. Copies of the following are required, where applicable:
 - a. Title Documents, and Lease/permit (where Applicant is not the owner of land)
 - b. Copies of previous Processing Licences granted to the Applicant
 - c. Evidence of Satisfaction of all outstanding royalty payments
 - d. Eight (8) Originals of a Survey Plan
 - i. Approved by the Director of Surveys, for State land
 - ii. Registered by the Director of Surveys, for Private land
 - e. Certificate of Incorporation / Certificate of Business Registration
 - f. Organizational Structure for Processing operations
 - g. A letter from a recognised financial institution (indicating that the Applicant possesses the financial capacity to conduct the operations for which a licence is being sought)
 - h. Processing Plan (to be completed using template)
 - i. Rehabilitation Plan (to be approved by the Environmental Management Authority)
 - j. Certificate of Environmental Clearance (CEC)
 - k. Town and Country Planning Approval
 - l. Water Abstraction Permit (from the Water and Sewerage Authority)
 - m. Certificates and other qualifications of the Processing Manager (indicating that the Applicant possesses the technical qualifications necessary to conduct the operations for which a licence is being sought)
 - n. Performance Bond (to be posted if licence is approved)
 - o. Rehabilitation Bond (to be posted if licence is approved)
 - p. Receipt for payment of licence application / renewal fee
 - q. Land and building taxes receipt (most recent)
 - r. Any other documents as required from time to time

UNOFFICIAL VERSION

UPDATED TO 31ST DECEMBER 2016

SCHEDULE 4
FEES AND BONDS FOR LICENCES

Regulations
5(2)(b), 34(1)
and 35(1).

FEES PAYABLE:

- | | |
|--|--|
| 1) Application for a New Licence | TT\$ 1,000.00 |
| 2) Application for Renewal of Licence | TT\$ 1,000.00 |
| 3) Annual Exploration Licence Fee | TT\$ 250.00 per hectare of Licensed Area |
| 4) Annual Mining Licence Fee | TT\$ 250.00 per hectare of Licensed Area |
| 5) Annual Processing Licence Fee | TT\$ 250.00 per hectare of Licensed Area |
| 6) Application Fee for Assignment/Transfer | TT\$ 1,000.00 |

BONDS TO BE POSTED (in accordance with sections 25 and 26 of Act):

FOR EXPLORATION, MINING OR PROCESSING LICENCES:

- | | |
|------------------------|---|
| 1) Performance Bond | TT\$ 7,500.00 per hectare of Licensed Area |
| 2) Rehabilitation Bond | TT\$ 60,000.00 per hectare of Licensed Area |

Regulation
39(4).

SCHEDULE 5

ROYALTY PAYABLE

The rate of royalty has been calculated using the current average market value for unprocessed Minerals mined in Trinidad and Tobago, and shall be charged on a **per cubic metre basis (except where indicated otherwise)**, as follows:

1) Sand and Gravel (pitrun)	TT\$ 6.00
2) Sand	TT\$ 4.00
3) Clay	TT\$ 3.00
4) Limestone (blue)	TT\$ 8.00
5) Limestone (yellow)	TT\$ 6.00
6) Andesite	TT\$ 8.00
7) Porcellanite	TT\$ 4.00
8) Tar Sands (Oil Sands)	TT\$ 8.00
9) Any other Common Mineral	TT\$ 5.00
10) Asphalt	TT\$ 10.00 per tonne